



# BANACH LIE GROUPOIDS, ALGEBROIDS, VON NEUMANN ALGEBRAS AND RESTRICTED GRASSMANNIAN


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Banach–Lie groupoids associated to  $W^*$ -algebras.  
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Banach–Lie algebroids associated to the groupoid of partially invertible elements of a  $W^*$ -algebra.  
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Fiber-wise linear Poisson structures related to  $W$ -algebras.  
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Banach–Lie groupoid of partial isometries over restricted Grassmannian.  
*to appear.*

# SOME PROBLEMS IN INFINITE DIMENSIONAL GEOMETRY

- No dimension counting arguments: existence of injective automorphisms which are not surjective.
- Closed subspaces may not be complemented.
- Many classical theorems fail or require non-trivial modifications, e.g. there is no Hopf–Rinow theorem, Frobenius requires extra assumptions.
- Lack of partitions of unity or bump functions: no localization
- Double dual of the a Banach space may not be canonically isomorphic to the original space.

Let us recall the definition of Banach Lie groupoid in the Hausdorff case.

- Submersion: a smooth map  $f : N \rightarrow M$  between two Banach manifolds such that for each  $x \in N$  the tangent map  $Tf : T_x N \rightarrow T_{f(x)} M$  is a surjection and  $\ker T_x f$  is a split subspace of  $T_x N$ .

## DEFINITION

A Banach–Lie groupoid  $\mathcal{G} \rightrightarrows M$ : a pair  $(\mathcal{G}, M)$  of Banach manifolds and maps:

- surjective submersions  $s : \mathcal{G} \rightarrow M$  and  $t : \mathcal{G} \rightarrow M$  (*source* and *target*)
- smooth map  $m : \mathcal{G}^{(2)} \rightarrow \mathcal{G}$ , where  $\mathcal{G}^{(2)} := \{(g, h) \in \mathcal{G} \times \mathcal{G} \mid s(g) = t(h)\}$  with induced topology (*multiplication*  $m(g, h) = gh$ ) and satisfying associativity condition in the sense that the product  $(gh)k$  is defined if and only if  $g(hk)$  is defined and in this case they coincide.
- continuous embedding  $\varepsilon : M \rightarrow \mathcal{G}$  (*identity section*) such that  $g\varepsilon(x) = g$  for all  $g \in s^{-1}(x)$ , and  $\varepsilon(x)g = g$  for all  $g \in t^{-1}(x)$ .
- diffeomorphism  $\iota : \mathcal{G} \rightarrow \mathcal{G}$ , (*inversion*), which satisfies  $g\iota(g) = \varepsilon(t(g))$ ,  $\iota(g)g = \varepsilon(s(g))$  for all  $g \in \mathcal{G}$ .

- $\mathcal{G}^{(2)}$  is a submanifold of  $\mathcal{G} \times \mathcal{G}$
- map  $\varepsilon$  is smooth
- $\varepsilon(M)$  is a closed Banach submanifold of  $\mathcal{G}$

For a  $W^*$ -algebra  $\mathfrak{M}$  one constructs two groupoids:

- partial isometries  $\mathcal{U}(\mathfrak{M}) \rightrightarrows \mathcal{L}(\mathfrak{M})$
- partially invertible operators  $\mathcal{G}(\mathfrak{M}) \rightrightarrows \mathcal{L}(\mathfrak{M})$
- base in both cases is the manifold of projectors  $\mathcal{L}(\mathfrak{M})$ .
- algebroids and related Poisson structures were also described.

- Manifold of projectors

$$\mathcal{L}(\mathfrak{M}) = \{p \in \mathfrak{M} \mid p^2 = p^* = p\}$$

- Groupoid of partial isometries  $\mathcal{U}(\mathfrak{M}) \rightrightarrows \mathcal{L}(\mathfrak{M})$

$$\mathcal{U}(\mathfrak{M}) = \{u \in \mathfrak{M} \mid uu^*u = u\}$$

- Groupoid of partially invertible operators  $\mathcal{G}(\mathfrak{M}) \rightrightarrows \mathcal{L}(\mathfrak{M})$

$$\mathcal{G}(\mathfrak{M}) = \{x \in \mathfrak{M} \mid |x| \in G(\text{supp } |x| \mathfrak{M} \text{supp } |x|)\}$$

- $\text{supp}(x)$  — support of an element  $x$



The groupoid structure on  $\mathcal{U}(\mathfrak{M})$  is given by:

$$s(u) = u^* u$$

$$t(u) = u u^*$$

$$m(u_1, u_2) = u_1 u_2$$

$$\varepsilon(p) = p$$

$$\iota(u) = u^*$$

for  $u, u_1, u_2 \in \mathcal{U}$  such that  $u_2 u_2^* = u_1^* u_1$  and  $p \in \mathcal{L}(\mathcal{H})$ .

For  $\mathcal{G}(\mathfrak{M})$   $s$  and  $t$  are right and left supports and  $\iota(x)$  is expressed by an inverse element in  $G(\sigma(|x|)\mathfrak{M}\sigma(|x|))$  using polar decomposition.

$$\begin{array}{ccc}
 \mathcal{U}(\mathfrak{M}) \subset & \longrightarrow & \mathcal{G}(\mathfrak{M}) \\
 \begin{array}{c} \parallel \\ s \quad t \\ \downarrow \downarrow \end{array} & & \begin{array}{c} \parallel \\ s \quad t \\ \downarrow \downarrow \end{array} \\
 \mathcal{L}(\mathfrak{M}) & \xrightarrow{\quad id \quad} & \mathcal{L}(\mathfrak{M})
 \end{array}$$

- For  $p \in \mathcal{L}(\mathfrak{M})$  we define

$$\Pi_p := \{q \in \mathcal{L}(\mathfrak{M}) : \mathfrak{M} = q\mathfrak{M} \oplus (1 - p)\mathfrak{M}\}$$

- Decomposition  $p = x_p - y_p \in q\mathfrak{M} \oplus (1 - p)\mathfrak{M}$  defines the maps

$$\sigma_p : \Pi_p \rightarrow q\mathfrak{M}p, \quad \varphi_p : \Pi_p \rightarrow (1 - p)\mathfrak{M}p$$

$$\sigma_p(q) := x_p, \quad \varphi_p(q) := y_p$$

- $\varphi_p$  is a bijection

## PROPOSITION

*The charts*

$$(\Pi_p, \varphi_p) \quad p \in \mathcal{L}(\mathfrak{M})$$

*defines a complex analytic atlas on  $\mathcal{L}(\mathfrak{M})$ . This atlas is modeled on the Banach spaces  $(1-p)\mathfrak{M}p$ .*

The transitions maps

$$\varphi_p \circ \varphi_{p'}^{-1} : \varphi_{p'}(\Pi_p \cap \Pi_{p'}) \rightarrow \varphi_p(\Pi_p \cap \Pi_{p'})$$

between  $y_p = \varphi_p(q)$  and  $y_{p'} = \varphi_{p'}(q)$  is given by

$$y_{p'} = (\varphi_{p'} \circ \varphi_p^{-1})(y_p) = (b + dy_p)(a + cy_p)^{-1},$$

where  $q \in \Pi_p \cap \Pi_{p'}$  and  $a = p'p$ ,  $b = (1-p')p$ ,  $c = p'(1-p)$ ,  
 $d = (1-p')(1-p)$ .

- For projections  $\tilde{p}, p \in \mathcal{L}(\mathfrak{M})$  we define

$$\Omega_{\tilde{p}p} := t^{-1}(\Pi_{\tilde{p}}) \cap s^{-1}(\Pi_p) \subset \mathcal{G}(\mathfrak{M})$$

- The maps

$$\psi_{\tilde{p}p} : \Omega_{\tilde{p}p} \rightarrow (1 - \tilde{p})\mathfrak{M}\tilde{p} \oplus \tilde{p}\mathfrak{M}p \oplus (1 - p)\mathfrak{M}p$$

$$\psi_{\tilde{p}p}(x) := (\varphi_{\tilde{p}}(t(x)), (\sigma_{\tilde{p}}(t(x)))^{-1}x\sigma_p(s(x)), \varphi_p(s(x))) = (y_{\tilde{p}}, z_{\tilde{p}p}, y_p).$$

## PROPOSITION

*The charts*

$$(\Omega_{\tilde{p}p}, \psi_{\tilde{p}p}) \quad \tilde{p}, p \in \mathcal{L}(\mathfrak{M})$$

*define a complex analytic atlas on the groupoid  $\mathcal{G}(\mathfrak{M})$ . with modeling Banach spaces*

$$(1 - \tilde{p})\mathfrak{M}\tilde{p} \oplus \tilde{p}\mathfrak{M}p \oplus (1 - p)\mathfrak{M}p$$

*indexed by the pair of projections from  $\mathcal{L}(\mathfrak{M})$ .*

## PROPOSITION

*The groupoid  $\mathcal{G}(\mathfrak{M}) \rightarrow \mathcal{L}(\mathfrak{M})$  equipped with the above Banach manifold structure is a complex Banach Lie groupoid.*

### REMARK

Banach Lie groupoid structure on  $\mathcal{U}(\mathfrak{M}) \rightarrow \mathcal{L}(\mathfrak{M})$  is real part of the described structure.

### REMARK

Banach Lie algebroid counterparts to these groupoids were also described.

## REMARK

The set of partial isometries has a Banach Lie groupoid structure also in the case of a  $C^*$ -algebra.

## REMARK

Partially invertible elements in  $C^*$ -algebra are also known as Moore-Penrose invertible elements. To our best knowledge there is no Banach Lie groupoid structure there due to discontinuity of inversion map.



## PARTICULAR CASE: $\mathfrak{M} = L^\infty(\mathcal{H})$

- differential structure on  $\mathcal{L}(\mathcal{H})$ : inverse map  $\varphi_W^{-1} : L^\infty(W, W^\perp) \rightarrow \mathcal{L}(\mathcal{H})$  to a chart assigns to a bounded operator its graph in  $W \oplus W^\perp = \mathcal{H}$

$$\varphi_W^{-1}(A) = \{(w, Aw) \in W \times W^\perp \mid w \in W\}$$

- charts  $\varphi_W : U_W \rightarrow L^\infty(W, W^\perp)$

$$\varphi_W(V) = P_{W^\perp}(P_W|_V)^{-1}$$

$$U_W = \{V \in \mathcal{L}(\mathcal{H}) \mid V \oplus_B W^\perp = \mathcal{H}\}$$

$$\begin{array}{ccccc}
 \mathcal{U}_{\text{res}} & \hookrightarrow & \mathcal{U}(\mathcal{H}) & \hookrightarrow & \mathcal{G}(\mathcal{H}) \\
 \downarrow s & & \downarrow s & & \downarrow s \\
 & & & & \downarrow t \\
 & & & & \downarrow t \\
 \text{Gr}_{\text{res}} & \hookrightarrow & \mathcal{L}(\mathcal{H}) & \xrightarrow{id} & \mathcal{L}(\mathcal{H})
 \end{array}$$

- fix an orthogonal decomposition (called polarization) of the Hilbert space  $\mathcal{H}$

$$\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$$

onto infinite dimensional Hilbert subspaces  $\mathcal{H}_\pm$ .

- $P_+, P_-$ : the orthogonal projectors onto  $\mathcal{H}_+$  and  $\mathcal{H}_-$
- block decomposition of an operator  $A$  acting on  $\mathcal{H}$ :

$$A = \begin{pmatrix} A_{++} & A_{+-} \\ A_{-+} & A_{--} \end{pmatrix}$$

## DEFINITION

The **restricted Grassmannian**  $\text{Gr}_{\text{res}}$  is defined as a set of Hilbert subspaces  $W \subset \mathcal{H}$  such that:

- the orthogonal projection  $p_+ : W \rightarrow \mathcal{H}_+$  is a Fredholm operator;
- the orthogonal projection  $p_- : W \rightarrow \mathcal{H}_-$  is a Hilbert–Schmidt operator.

• identify the Hilbert subspace  $W$  with a projector  $P_W$  onto this subspace.

## PROPOSITION

$$W \in \text{Gr}_{\text{res}} \iff P_W - P_+ \in L^2$$

- Banach Lie group: unitary restricted group  $U_{\text{res}}$  acting transitively on  $\text{Gr}_{\text{res}}$ :

$$U_{\text{res}} := \{u \in U(H) \mid [u, P_+] \in L^2\}$$

- its Banach Lie algebra

$$\mathfrak{u}_{\text{res}} := \{\mu \in \mathfrak{u}(\mathcal{H}) \mid [\mu, P_+] \in L^2\} \quad (1)$$

- $\text{Gr}_{\text{res}}$  can be seen as a smooth homogenous space  $U_{\text{res}}/(U_+ \times U_-)$

- differential structure on  $\text{Gr}_{\text{res}}$  is obtained using the same charts as for  $\mathcal{L}(\mathcal{H})$  taking value in  $L^2(W, W^\perp)$  and transition functions are smooth in  $L^2$  topology

- for particular case of  $W = \mathcal{H}_+$  the inverse to a chart is

$$\varphi_{\mathcal{H}_+}^{-1}(A) = \begin{pmatrix} (1 + A^*A)^{-1} & (1 + A^*A)^{-1}A^* \\ A(1 + A^*A)^{-1} & A(1 + A^*A)^{-1}A^* \end{pmatrix}$$

- equivalently:

$$\varphi_{\mathcal{H}_+}^{-1}(A) = (P_+ + A)(1 + A^*A)(P_+ + A^*P_-)$$

$$\varphi_W^{-1}(A) = (P_W + A)(1 + A^*A)(P_W + A^*P_{W^\perp})$$

One defines a groupoid  $\mathcal{U}_{\text{res}} \rightrightarrows \text{Gr}_{\text{res}}$  as

$$\begin{aligned}\mathcal{U}_{\text{res}} &= s^{-1}(\text{Gr}_{\text{res}}) \cap t^{-1}(\text{Gr}_{\text{res}}) \\ &= \{u \in \mathcal{U}(\mathcal{H}) \mid u^*u, uu^* \in \text{Gr}_{\text{res}}\}\end{aligned}$$

- It is a subgroupoid (in algebraic sense)

### PROPOSITION

*For  $u \in \mathcal{U}_{\text{res}}$  we have  $u_{+-}, u_{-+} \in L^2$ .*

## PROPOSITION

For every point  $W \in \text{Gr}_{\text{res}}$  there exists a neighbourhood  $\Omega_W \subset \text{Gr}_{\text{res}}$  and a smooth map  $\sigma_W : \Omega_W \rightarrow U_{\text{res}}$  such that

$$\forall W' \in \Omega_W \quad W' = \sigma_W(W')\mathcal{H}_+$$

- Using these local cross sections we construct injective maps:

$$\mathcal{U}_{\text{res}} \supset s^{-1}(W') \cap t^{-1}(W) \longrightarrow \text{Gr}_{\text{res}} \times U_+ \times \text{Gr}_{\text{res}}$$

$$u \mapsto (uu^*, \sigma_W(uu^*)^{-1}u\sigma_{W'}(u^*u)|_{\mathcal{H}_+}, u^*u)$$

- couple this map with charts on the respective manifolds

$$\begin{aligned} \Phi_{\alpha\beta\gamma}(u) &= (\tilde{\psi}_\gamma(uu^*), \tilde{\psi}_\beta(u^*u), \psi_\alpha(\sigma_\gamma(uu^*)^{-1}u\sigma_\beta(u^*u))|_{\mathcal{H}_+}) \\ &\in L^2(W_\gamma, W_\gamma^\perp) \times L^2(W_\beta, W_\beta^\perp) \times \mathbf{u}(\mathcal{H})_+ \end{aligned}$$



## THEOREM

*The family  $(\Omega_{\alpha\beta\gamma}, \Phi_{\alpha\beta\gamma})$  constitutes a smooth atlas on  $\mathcal{U}_{res}$ .*

## THEOREM

*The manifold  $\mathcal{U}_{res}$  is a Banach–Lie groupoid with respect to the defined maps.*

- Obviously,  $\mathcal{U}_{\text{res}}$  is not a Banach–Lie subgroupoid of the Banach–Lie groupoid of all partial isometries  $\mathcal{U}(\mathcal{H})$ : Grassmannian  $\mathcal{L}(\mathcal{H})$  is not a submanifold of the restricted Grassmannian  $\text{Gr}_{\text{res}}$ . It is only a weakly immersed submanifolds as the image of the tangent of the inclusion map is not closed.
- Unlike  $\mathcal{U}(\mathcal{H})$ , the groupoid  $\mathcal{U}_{\text{res}}$  is transitive and pure, i.e. the map  $(s, t) : \mathcal{U}_{\text{res}} \rightarrow \text{Gr}_{\text{res}} \times \text{Gr}_{\text{res}}$  is surjective and both base and total space are modeled on a single (up to isomorphism) Banach space.