

# The 1 – D Dirac equation in the phase space quantum mechanics

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# Motivation

- The phase space approach to systems with both: classical degrees of freedom and purely quantum ones (e.g. spin)  
In the Hilbert space version of quantum mechanics they are modelled on  $L^2(\mathbb{R}^n) \otimes \mathbb{C}^{(s+1)}$
- Representation of relativistic quantum mechanics on a phase space
- Continuity equation in terms of Wigner function

M. Przanowski, J. Tosiek, F. J. Turrubiates, *The Weyl – Wigner – Moyal Formalism on a Discrete Phase Space. I. A Wigner Function for a Nonrelativistic Particle with Spin*, Fortschr. Phys. **67**, 1900080 (2019).

# 1 – D Dirac equation

A general shape of the free Hamilton operator for a Dirac particle in one dimension is

$$\hat{H} = \alpha(c\hat{p}) + \beta mc^2 \hat{\mathbf{1}} \quad (1)$$

where  $\alpha$  and  $\beta$  are some square matrices. Because of the requirement

$$\hat{H}^2 = c^2 \hat{p}^2 + m^2 c^4 \hat{\mathbf{1}}$$

we can see that there must be

$$\alpha^2 = \mathbf{1} \ , \ \alpha \cdot \beta + \beta \cdot \alpha = \mathbf{0} \ , \ \beta^2 = \mathbf{1}.$$

# 1 – D Dirac equation

On the contrary to the 3 – D case this system of conditions can be fulfilled by  $2 \times 2$  square matrices e.g.

$$\alpha = \sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad \text{and} \quad \beta = \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

The time – dependent Dirac equation is of the form

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H} |\Psi(t)\rangle$$

Vector  $|\Psi(t)\rangle$  is a two – component object  $|\Psi(t)\rangle = \begin{bmatrix} |\Psi_1(t)\rangle \\ |\Psi_0(t)\rangle \end{bmatrix}$  from the tensor product of Hilbert spaces  $\mathcal{H} \otimes \mathbb{C}^2$ , where the space  $\mathcal{H}$  is isomorphic to  $L^2(\mathbb{R})$ .

# Eigenvalues of energy in the 1 – D Dirac equation

Possible eigenvalues of the Hamilton operator (1) belong to the sum of intervals

$$E \in (-\infty, -mc^2) \cup (mc^2, +\infty)$$

and satisfy the dispersion relation:

$$E^2 = (cp)^2 + (mc^2)^2, \quad E_{\pm} = \pm \sqrt{(cp)^2 + (mc^2)^2},$$

where  $p \in \mathbb{R}$ .

# Eigenvectors of the Hamilton operator in the 1 – D Dirac equation

Eigenfunctions of the Hamilton operator (1) are of the form

$$\psi_{p\pm}(x) = \frac{1}{\sqrt{2\pi\hbar}} \begin{pmatrix} \frac{cp}{E_{\pm} - mc^2} \\ 1 \end{pmatrix} \left( \frac{(cp)^2}{(E_{\pm} - mc^2)^2} + 1 \right)^{-\frac{1}{2}} e^{ipx/\hbar}.$$

and fulfill orthonormality conditions

$$\int_{\mathbb{R}} \psi_{p'+}^{\dagger}(x) \psi_{p+}(x) dx = \delta(p - p'),$$

$$\int_{\mathbb{R}} \psi_{p'-}^{\dagger}(x) \psi_{p-}(x) dx = \delta(p - p')$$

$$\int_{\mathbb{R}} \psi_{p'+}^{\dagger}(x) \psi_{p-}(x) dx = 0.$$

Solutions are parametrised by exclusively the momentum  $p$  and the sign of energy.

# Phase space for classical degrees of freedom

Hilbert space  $L^2(\mathbb{R}^1)$  can be equipped with a basis generated by eigenstates of the position operator  $\hat{q}$

$$\langle q|q'\rangle = \delta(q' - q), \quad q, q' \in \mathbb{R}$$

Another basis can be spanned by eigenvectors of the momentum operator  $\hat{p}$

$$|p\rangle = \int_{\mathbb{R}} \frac{1}{\sqrt{2\pi\hbar}} \exp\left(\frac{ipq}{\hbar}\right) |q\rangle dq,$$

$$\langle p|p'\rangle = \delta(p' - p), \quad p, p' \in \mathbb{R}$$

# Phase space for classical degrees of freedom

Operators of position and of momentum

$$\hat{q} = \int_{\mathbb{R}} q |q\rangle dq \langle q|$$

and

$$\hat{p} = \int_{\mathbb{R}} p |p\rangle dp \langle p|$$

with the commutation relation

$$[\hat{q}, \hat{p}] = i\hbar \hat{\mathbf{1}}.$$

# Phase space for classical degrees of freedom

Applying them we introduce two families of unitary operators:

$$\exp(i\lambda\hat{p}) \quad \text{and} \quad \exp(i\mu\hat{q}), \quad \lambda, \mu \in \mathbb{R},$$

satisfying the commutation rule

$$\begin{aligned} & \exp\left(-\frac{i\hbar\lambda\mu}{2}\right) \exp(i\lambda\hat{p}) \exp(i\mu\hat{q}) \\ &= \exp\left(\frac{i\hbar\lambda\mu}{2}\right) \exp(i\mu\hat{q}) \exp(i\lambda\hat{p}) =: \hat{\mathcal{U}}(\lambda, \mu) \\ &= \exp\{i(\lambda\hat{p} + \mu\hat{q})\} \quad \text{displacement operator} \end{aligned}$$

# Phase space for classical degrees of freedom

One can establish a correspondence between operators in  $\mathcal{H} \cong L^2(\mathbb{R}^1)$  and functions on  $\mathbb{R}^2$

$$f(p, q) = \frac{\hbar}{2\pi} \int_{\mathbb{R} \times \mathbb{R}} d\lambda d\mu \mathcal{P}^{-1} \left( \frac{\hbar \lambda \mu}{2} \right) \exp\{i(\lambda p + \mu q)\} \text{Tr} \left\{ \widehat{f} \widehat{\mathcal{U}}^\dagger(\lambda, \mu) \right\}.$$

By  $\mathcal{P} \left( \frac{\hbar \lambda \mu}{2} \right)$  we mean a function related to the operator ordering.

This formula shows that the phase space used for representation of classical degrees of freedom is  $\mathbb{R}^{2n}$ .

# Phase space for internal discrete degrees of freedom

Consider an  $(s + 1)$  – dimensional Hilbert space  $\mathcal{H}^{(s+1)} \cong \mathbb{C}^{(s+1)}$  equipped with an orthonormal basis

$$\{|0\rangle, |1\rangle, \dots, |s\rangle\}, \quad \langle n | n' \rangle = \delta_{nn'}, \quad n, n' = 0, 1, \dots, s.$$

We introduce another orthonormal basis

$$|\phi_m\rangle := \frac{1}{\sqrt{s+1}} \sum_{n=0}^s \exp(in\phi_m) |n\rangle,$$

$$\langle \phi_m | \phi_{m'} \rangle = \delta_{mm'} \quad , \quad m, m' = 0, 1, \dots, s$$

with

$$\phi_m = \phi_0 + \frac{2\pi}{s+1} m, \quad m = 0, 1, \dots, s.$$

We put the phase  $\phi_0 = 0$ .

# Phase space for internal discrete degrees of freedom

Define then two hermitian operators

$$\hat{n} := \sum_{n=0}^s n |n\rangle \langle n|$$

and

$$\hat{\phi} := \sum_{m=0}^s \phi_m |\phi_m\rangle \langle \phi_m|$$

which enable us to construct the following unitary operators  
(Schwinger operators)

$$\hat{V} := \exp\left(i \frac{2\pi}{s+1} \hat{n}\right)$$

and

$$\hat{U} := \exp(i\hat{\phi}).$$

# Phase space for internal discrete degrees of freedom

These operators fulfill the commutation relation

$$\exp\left(-i\frac{\pi kl}{s+1}\right) \hat{U}^k \hat{V}^l = \exp\left(i\frac{\pi kl}{s+1}\right) \hat{V}^l \hat{U}^k =: \hat{\mathcal{D}}(k, l), \quad k, l \in \mathbb{Z}.$$

Hence one can construct a formula

$$f(\phi_m, n) = \frac{1}{s+1} \sum_{k,l=0}^s \mathcal{K}^{-1}(k, l) \exp\left\{i\left(k\phi_m + \frac{2\pi}{s+1}ln\right)\right\} \\ \times \text{Tr}\left\{\hat{f} \hat{\mathcal{D}}^\dagger(k, l)\right\}$$

assigning a function  $f(\phi_m, n)$  on a discrete phase space (a grid)  $\{(\phi_m, n)\}_{m,n=0}^s$  denoted by  $\Gamma^{(s+1)}$  to the operator  $\hat{f}$ .

# Phase space for systems with continuous and internal degrees of freedom

Putting together formalism for continuous and discrete degrees of freedom we can see that

$$\mathbb{R} \times \mathbb{R} \times \Gamma^{(s+1)} \ni f(p, q, \phi_m, n) \xleftrightarrow{(\mathcal{P}, \mathcal{K})} \hat{f} \in L^2(\mathbb{R}) \otimes \mathcal{H}^{(s+1)}$$

$$f(p, q, \phi_m, n) = \left( \frac{\hbar}{2\pi} \right) \frac{1}{s+1} \sum_{k,l=0}^s \int_{\mathbb{R} \times \mathbb{R}} d\lambda d\mu$$

$$\left( \mathcal{P} \left( \frac{\hbar\lambda\mu}{2} \right) \mathcal{K} \left( \frac{\pi kl}{s+1} \right) \right)^{-1}$$

$$\exp\{i(\lambda p + \mu q)\} \exp\left\{i \frac{2\pi}{s+1} (km + ln)\right\} \text{Tr} \left\{ \hat{f} \hat{\mathcal{U}}^\dagger(\lambda, \mu) \hat{\mathcal{D}}^\dagger(k, l) \right\}$$

# Representation of states

If  $\hat{\rho}$  is a density operator of the quantum system then the average value of an observable  $\hat{f}$

$$\langle \hat{f} \rangle = \text{Tr}\{\hat{f} \hat{\rho}\}$$

Hence, we define the **Wigner function of the state**  $\hat{\rho}$  for the kernels  $(\mathcal{P}, \mathcal{K})$  as

$$W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n) := \frac{1}{(2\pi\hbar)(s+1)} \text{Tr} \left\{ \hat{\rho} \hat{\Omega}[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n) \right\}$$

Consequently,

$$\langle \hat{f} \rangle = \sum_{m,n=0}^s \int_{\mathbb{R} \times \mathbb{R}} dp dq f(p, q, \phi_m, n) W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n)$$

# Representation of states

Properties of Wigner function  $W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n)$

- It is a real function

$$W^*[\mathcal{P}, \mathcal{K}] = W[\mathcal{P}, \mathcal{K}]$$

- Its trace is equal to one

$$\sum_{m,n=0}^s \int_{\mathbb{R} \times \mathbb{R}} dp dq W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n) = \text{Tr}\{\hat{\rho}\} = 1$$

- It gives the marginal distribution

$$\sum_{m,n=0}^s \int_{\mathbb{R}} dp W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n) = \text{Tr}\{\hat{\rho}|q\rangle\langle q|\}$$

# Phase space model of the 1 – D Dirac equation

In this case  $s = 1$ .

Two states referring to the internal degree of freedom are

$$\sigma_z|0\rangle = -1|0\rangle \quad , \quad \sigma_z|1\rangle = 1|1\rangle.$$

Therefore the operators

$$\hat{n} = |1\rangle\langle 1| \quad , \quad \hat{\phi} = \pi|\phi_1\rangle\langle\phi_1|$$

are (up to a factor) projection operators on the directions  $|1\rangle$  and  $|\phi_1\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$  respectively.

The Schwinger operators are

$$\hat{V} = |0\rangle\langle 0| - |1\rangle\langle 1| \quad \text{and}$$

$$\hat{R} = |\phi_0\rangle\langle\phi_0| - |\phi_1\rangle\langle\phi_1| = |0\rangle\langle 1| + |1\rangle\langle 0|.$$

# Phase space model of the 1 – D Dirac equation

The family of unitary operators  $\hat{D}(k, l)$  consists of four elements

$$\begin{aligned}\hat{D}(0, 0) &= \hat{\mathbf{1}} = |0\rangle\langle 0| + |1\rangle\langle 1|, & \hat{D}(1, 0) &= \hat{R} = |0\rangle\langle 1| + |1\rangle\langle 0| \\ \hat{D}(0, 1) &= \hat{V} = |0\rangle\langle 0| - |1\rangle\langle 1|, & \hat{D}(1, 1) &= -i\hat{R}\hat{V} = i|0\rangle\langle 1| - i|1\rangle\langle 0|.\end{aligned}$$

Our choice of kernels

$$\mathcal{P}\left(\frac{\hbar\lambda\mu}{2}\right) = 1 \quad \text{the Weyl ordering}$$

$$\mathcal{K}\left(\frac{\pi kl}{s+1}\right) = (-1)^{kl}.$$

One cannot put  $\mathcal{K}\left(\frac{\pi kl}{2}\right) = 1$ .

# Phase space model of the 1 – D Dirac equation

The Hamilton function on the quantum phase space  $\mathbb{R} \times \Gamma^2$  equals

$$\begin{aligned} H(p, x, \phi_0, 0) &= cp - mc^2, & H(p, x, \phi_1, 0) &= -cp - mc^2 \\ H(p, x, \phi_0, 1) &= cp + mc^2, & H(p, x, \phi_1, 1) &= -cp + mc^2. \end{aligned} \quad (2)$$

Components of the Wigner function of a free particle with the momentum  $\tilde{p}$  are

$$\left\{ \begin{aligned} W_{\pm}(p, x, \phi_0, 0) &= \frac{1}{4\pi\hbar} \delta(p - \tilde{p}) \frac{(E_{\pm} - mc^2)(c\tilde{p} + E_{\pm} - mc^2)}{(c\tilde{p})^2 + (E_{\pm} - mc^2)^2}, \\ W_{\pm}(p, x, \phi_1, 0) &= \frac{1}{4\pi\hbar} \delta(p - \tilde{p}) \frac{(E_{\pm} - mc^2)(E_{\pm} - mc^2 - c\tilde{p})}{(c\tilde{p})^2 + (E_{\pm} - mc^2)^2}, \\ W_{\pm}(p, x, \phi_0, 1) &= \frac{1}{4\pi\hbar} \delta(p - \tilde{p}) \frac{c\tilde{p}(c\tilde{p} + E_{\pm} - mc^2)}{(c\tilde{p})^2 + (E_{\pm} - mc^2)^2}, \\ W_{\pm}(p, x, \phi_1, 1) &= \frac{1}{4\pi\hbar} \delta(p - \tilde{p}) \frac{c\tilde{p}(c\tilde{p} - E_{\pm} + mc^2)}{(c\tilde{p})^2 + (E_{\pm} - mc^2)^2}. \end{aligned} \right.$$

# Star product for $s = 1$

$$\begin{aligned}
 (f * g)(p, q, \phi_m, n, t) = & \frac{1}{(\pi \hbar)^2} \sum_{m', n', m'', n''=0}^1 \int_{\mathbb{R} \times \mathbb{R} \times \mathbb{R} \times \mathbb{R}} dp' dq' dp'' dq'' \\
 & \times f(p', q', \phi_{m'}, n', t) g(p'', q'', \phi_{m''}, n'', t) \\
 & \exp \left\{ \frac{2i}{\hbar} [(q - q') \cdot (p - p'') - (q - q'') \cdot (p - p')] \right\} \\
 & \left\{ (1 + (-1)^{m'+m''})(1 + (-1)^{n'+n''}) + (-1)^m ((-1)^{m'} + (-1)^{m''}) \right. \\
 & \quad \left. + (-1)^{m+n} ((-1)^{m'+n'} + (-1)^{m''+n''}) \right. \\
 & + (-1)^n ((-1)^{n'} + (-1)^{n''}) + i \left[ (-1)^m (-1)^{n'+n''} ((-1)^{m'} - (-1)^{m''}) \right. \\
 & \quad \left. + (-1)^{m+n} ((-1)^{m''+n'} - (-1)^{m'+n''}) \right. \\
 & \quad \left. + (-1)^n (-1)^{m'+m''} ((-1)^{n''} - (-1)^{n'}) \right] \Big\}.
 \end{aligned}$$

# Star product – differential formula

$$\begin{aligned}
 (f * g)(p, q, \phi_m, n) = & \\
 \frac{1}{16} \sum_{m', n', m'', n''=0}^1 & f(p, q, \phi_{m'}, n') \exp \left\{ \frac{i\hbar}{2} \overleftrightarrow{\mathcal{P}} \right\} g(p, q, \phi_{m''}, n'') \\
 \left\{ (1 + (-1)^{m'+m''})(1 + (-1)^{n'+n''}) + (-1)^m((-1)^{m'} + (-1)^{m''}) + \right. & \\
 (-1)^{m+n}((-1)^{m'+n'} + (-1)^{m''+n''}) + (-1)^n((-1)^{n'} + (-1)^{n''}) + & \\
 i \left[ (-1)^m(-1)^{n'+n''}((-1)^{m'} - (-1)^{m''}) + (-1)^{m+n}((-1)^{m''+n'} - \right. & \\
 \left. (-1)^{m'+n''}) + (-1)^n(-1)^{m'+m''}((-1)^{n''} - (-1)^{n'}) \right] \Big\}. &
 \end{aligned}$$

# The Liouville – von Neumann – Wigner equation

The evolution equation for the Wigner function

$W[\mathcal{P}, \mathcal{K}](p, q, \phi_m, n; t)$  reads

$$\frac{\partial W[\mathcal{P}, \mathcal{K}]}{\partial t} + \frac{1}{i\hbar} \left( W[\mathcal{P}, \mathcal{K}] * H - H * W[\mathcal{P}, \mathcal{K}] \right) = 0.$$

The Moyal bracket is defined as

$$\{W[\mathcal{P}, \mathcal{K}], H\}_M := \frac{1}{i\hbar} \left( W[\mathcal{P}, \mathcal{K}] * H - H * W[\mathcal{P}, \mathcal{K}] \right)$$

# Time evolution of spatial density of probability

The change of spatial density of probability  $\rho(\vec{r}_0, t)$  with time combined with the Liouville – von Neumann – Wigner equation leads to the relation

$$\frac{\partial}{\partial t} \sum_{m,n=0}^s \int_{\mathbb{R}^3} d\vec{p} W[\mathcal{P}, \mathcal{K}](\vec{p}, \vec{r}, \phi_m, n, t) + \sum_{m,n=0}^s \int_{\mathbb{R}^3} d\vec{p} \{W[\mathcal{P}, \mathcal{K}](\vec{p}, \vec{r}, \phi_m, n, t), H(\vec{p}, \vec{r}, \phi_m, n, t)\}_M = 0.$$

The continuity equation takes the form

$$\frac{\partial \rho(\vec{r}_0, t)}{\partial t} + \text{div} \vec{j}(\vec{r}, t) = 0.$$

# Current density in the 1 – D Dirac equation

The expression

$$\sum_{m,n=0}^s \int_{\mathbb{R}^3} d\vec{p} \{ W[\mathcal{P}, \mathcal{K}](\vec{p}, \vec{r}, \phi_m, n, t), H(\vec{p}, \vec{r}, \phi_m, n, t) \}_M$$

represents the element  $\text{div} \vec{j}(\vec{r}, t)$ .

The current density for the 1 – D Dirac equation is of the form

$$\begin{aligned} \vec{j}(x, t) = & \int_{\mathbb{R}} dp \, c \Big( W(p, x, \phi_0, 0, t) \\ & + W(p, x, \phi_0, 1, t) - W(p, x, \phi_1, 0, t) - W(p, x, \phi_1, 1, t) \Big). \end{aligned}$$