

# Reflection Positivity on the Sphere

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# Structure of the talk

In this presentation I will give a brief overview of reflection positivity in geometry and representation theory. This is a part of a joint project with K-H. Neeb, including work with J. Frahm, V. Morinelli and B. Ørsted.

In more details:

- Short history of reflection positivity.
- Reflection positive Hilbert spaces.
- Reflection positive representations.
- Cartan duality
- Dissecting manifolds.
- The basic example: The sphere.

# A brief history of reflection positivity

- **Reflection Positivity** originated as one of the Osterwalder-Schrader axioms of **constructive quantum field theory** (1973/75).
- Very simplified version of two of the Wightman, or Gårding-Wightman axioms, around 1964:
- **Hilbert space**: States are elements of an Hilbert space  $\mathcal{H}$  carrying an unitary **positive-energy** representation  $\pi$  of the Poincaré group ( $n = 4$ ):  $P_n = O_{1,n-1}(\mathbb{R})^\uparrow \ltimes \mathbb{R}^n$ .
- **Representation Theory**:  $P_n$  acts on  $\mathbb{R}^n$  by  $(A, x) \cdot v = Ax + v$ . Hence it acts on function by

$$\lambda_{(A,x)} f(v) = f(A^{-1}(v - x)).$$

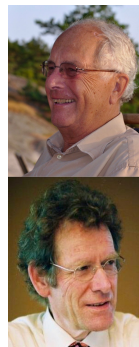
# Constructive QFT

- Motivated by the fact that

Euclidian geometry/quantum  
mechanics

is simpler than

Lorentzian geometry/quantum  
field theory



Osterwalder-Schrader in 1973/1975  
formulated equivalent axioms two of which are:

# Two of Osterwalder-Schrader Axioms

- **Euclidean covariance:**

$P_{n+1} = O_{1..n}^\uparrow \ltimes \mathbb{R}^{1,n}$ -covariance is replaced by  
 $E_{n+1} = SO_{n+1} \ltimes \mathbb{R}^{n+1}$ -covariance.

The representation theory of  $E_n$  is much simpler.

- **Reflection positivity:** The tool to transform the euclidean fields to the relativistic fields via time reflection, analytic continuation in the **time variable** and then restrict to purely imaginary time, Wick rotation moving to imaginary time.

# Change of metrics: The Geometry

- On the space time manifold we have a time reflection

$$\tau((x_0, \mathbf{x})) = (-x_0, \mathbf{x})$$

Then multiply time by  $i$  transfer the Euclidean metric form

$$\langle (x_0, \mathbf{x}), (y_0, \mathbf{y}) \rangle_E = x_0 y_0 + x_1 y_1 + \cdots + x_n y_n$$

into the Lorentzian form:

$$\langle (x_0, \mathbf{x}), (y_0, \mathbf{y}) \rangle_L = -x_0 y_0 + \langle \mathbf{x}, \mathbf{y} \rangle = [\mathbf{x}, \mathbf{y}].$$

# Action on functions, distributions and transformations

- The time reflection acts on
  - Functions:  $\varphi \mapsto \varphi \circ \tau = \varphi^\tau$
  - Distributions (conjugate linear maps  $\eta : C_c^\infty(M) \rightarrow \mathbb{C}$ )

$$\eta^\tau(\varphi) = \eta(\varphi^\tau).$$

- Isometries:  $f^\tau(x) = f(\tau(x))$ .
- In the flat case this leads to a duality of symmetry groups (c-duality, talk by Neeb)  $E_{n+1} \leftrightarrow P_{n+1}$ . both groups acting by  $(a, v)(x) = ax + v$ .

**Question:** What about representations of the isometry groups?

# Basic Concepts I: Reflection Positive Hilbert Spaces

- Based on the assumption that states are elements of an Hilbert space one define:

## Definition

A reflection positive Hilbert space is a triple  $(\mathcal{E}, \mathcal{E}_+, \theta)$  s.t.

- (Reflection)  $\mathcal{E}$  a Hilbert space and  $\theta : \mathcal{E} \rightarrow \mathcal{E}$  is unitary linear involution:  $\theta^2 = \text{id}$
- (Positivity)  $\mathcal{E}_+$  a subspace s.t. the Hermitian form

$$\langle v, w \rangle_\theta = \langle \theta v, w \rangle$$

is non-negative on  $\mathcal{E}_+$ ,  $\langle \theta v, v \rangle \geq 0$  for all  $v \in \mathcal{E}_+$ .



## Basic Concepts II: the O-S map/functor

- $\mathcal{N} := \{u \in \mathcal{E}_+ \mid \|u\|_\theta = 0\} = \{u \in \mathcal{E}_+ \mid (\forall w \in \mathcal{E}_+) \langle u, w \rangle_\theta = 0\}$ .
- $\hat{\mathcal{E}}$  = the completion of  $\mathcal{E}_+/\mathcal{N}$  in the norm  $\|\cdot\|_\theta$ , a Hilbert space.
- $q: \mathcal{E}_+ \rightarrow \hat{\mathcal{E}}$  or  $v \mapsto \hat{v}$ , the quotient map  $v \mapsto v + \mathcal{N}$
- $T: \mathcal{D} \rightarrow \mathcal{E}_+$ ,  $\mathcal{D} \subseteq \mathcal{E}_+$  a (possibly unbounded) linear operator with  $T(\mathcal{D} \cap \mathcal{N}) \subset \mathcal{N}$ , then

$$\hat{T}: \hat{\mathcal{E}} \rightarrow \hat{\mathcal{E}} \quad \hat{T}\hat{v} := \widehat{(Tv)}$$

denotes the corresponding operator defined on  $\hat{\mathcal{D}}$ .

- Work by several people J. Dimock, J. Fröhlich, Osterwalder, Seiler, Glimm, Jaffe, Jorgensen, Ritter, Klein, Landau, Nelson, Schrader, ...

# c-duality I

## Theorem

If  $X$  is a connected Riemannian manifold with involution  $\tau_X$  with isolated fixed points then  $G = \text{Iso}(X)_e$  is a finite dimensional Lie group with involution  $\tau_G(g) = \tau_X g \tau_X$ .

- $\tau_G$  defines an involution on  $\mathfrak{g} = \text{Lie } G$  and we have

$$\mathfrak{g} = \underbrace{\mathfrak{h}}_{+1 \text{ eigenspace}} \oplus \underbrace{\mathfrak{q}}_{-1 \text{ eigenspace}}$$

- From now on we simply write  $\tau$ . We will also choose  $H \subset G$  closed such that

$$G_e^\tau \subset H \subset G \quad (\text{symmetric subgroup}).$$

- We let  $\mathfrak{g}^c = \mathfrak{h} \oplus i\mathfrak{q}$  (Lie algebra) and  $G^c$  the simply connected Lie group with Lie algebra  $\mathfrak{g}^c$  and note that  $\tau$  defines an involution on  $G^c$ .

# Unitary Representations

- Starting with a
  - Reflection positive Hilbert space  $(\mathcal{E}, \mathcal{E}_+, \theta)$
  - A triple  $(G, \pi, \tau)$  where  $\pi$  is a unitary representation of  $G$  on  $\mathcal{E}$  and  $\tau : G \rightarrow G$  is an involution

## Definition

The representation  $\pi$  is reflection positive if  $\pi(H)\mathcal{E}_+ \subset \mathcal{E}_+$  and we have the compatibility condition

$$\theta\pi(g)\theta = \pi(\tau(g)). \quad (1)$$

# Transfer of unitarity

- Assuming that the derived representation  $\pi^\infty$  defines a densely defined operator on  $\mathcal{E}_+$  then (1) implies that the derived representation on  $\widehat{\mathcal{E}}$  satisfies

$$\widehat{\pi}^\infty(X) \text{ is } \begin{cases} \text{skew symmetric} & \widehat{\pi}^\infty(X)^* = -\widehat{\pi}^\infty(X), \quad X \in \mathfrak{h} \\ \text{symmetric} & \widehat{\pi}^\infty(X)^* = \widehat{\pi}^\infty(X), \quad X \in \mathfrak{q} \end{cases}$$

- Thus  $\pi^c(X + iY) = \pi^\infty(X) + i\pi^\infty(Y)$ ,  $X \in \mathfrak{h}, Y \in \mathfrak{q}$ , defines a representation of  $\mathfrak{g}^c$  in the space of skew symmetric operators on  $\widehat{\mathcal{E}}$ .

**Question:** When does this define a unitary representation  $\widehat{\pi}$  of  $G^c$ ?

**Partial answers:** Lüscher-Mack 1975, R. Schrader 1986, Jorgensen-Ó. 1998/2000, Merigon-Neeb-Ó 2015

# Dissecting manifolds

## Definition

The pair  $(X, \tau)$ , where  $X$  is a manifold and  $\tau : X \rightarrow X$  an involution, is **dissecting** if  $X \setminus X^\tau$  is not connected.

The idea behind the definition is that (see more in a moment) we can think of  $\tau$  as **time reflection** leading to a decomposition of the manifold into:

$$X = X_+ \dot{\cup} X_0 \dot{\cup} X_-, \quad X_0 = X^\tau, \quad \tau(X_+) = X_-.$$

and

$$T_x X = \underbrace{T_x^-(X)}_{\substack{-1 \text{ eigenspace, time} \\ \text{one-dimensional}}} \oplus \underbrace{T_x^+(X)}_{\substack{+1 \text{ eigenspace, space}}}$$

# Fun facts, several authors

## Theorem

Let  $X$  be a connected smooth manifold.

- (i) If  $g$  is a complete Riemannian metric on  $X$  and  $\tau$  is a dissecting isometry, then  $\tau$  is an involution.
- (ii) If  $\tau \in \text{Diff}(M)$  is an involution, then there exists a  $\tau$ -invariant complete Riemannian metric on  $X$ .
- (iii) If  $\tau \in \text{Diff}(X)$  is a dissecting involution, then  $X \setminus X^\tau$  has two connected components  $X_\pm$  with  $\tau(X_\pm) = X_\mp$  and each component of  $X_0 = X^\tau$  is of codimension one.
- (iv) If  $\tau \in \text{Diff}(X)$  is a reflection and  $X$  is simply-connected, then  $\tau$  is dissecting and its fixed point set  $X^\tau$  is a connected orientable hypersurface.

# Classification, $X$ symmetric space $\simeq G/H$ . Neeb-Ó, 2019

## Theorem

*Up to coverings, every connected irreducible symmetric space with a dissecting involutive automorphism is a connected component of a quadric*

$$Q := \{x \in \mathbb{R}^{p+q} \mid \beta_{p,q}(x, x) = 1\},$$

where

$$\beta_{p,q}(x, y) = \sum_{j=1}^p x_j y_j - \sum_{j=p+1}^{p+q} x_j y_j.$$

Here  $G = \mathrm{SO}_{p,q}(\mathbb{R})_0$  and  $H_0 \cong \mathrm{SO}_{p-1,q}(\mathbb{R})_0$  or  $H_0 \cong \mathrm{SO}_{p,q-1}$ . Up to conjugation, the dissecting involution is given by

$$\sigma(x_1, x) = (-x_1, x) \text{ or } \sigma(x, x_n) = (x, -x_n)$$

# Jaffe-Ritter-Anderson/Dimock construction I

- Let  $(X, \tau)$  be a dissecting Riemannian manifold.  $\Delta$  the Laplacian.
- For  $m > 0$  let

$$C = (m^2 - \Delta)^{-1}$$

bounded on  $L^2(X)$  and positive.

- (Sobolev) inner product

$$\langle \varphi, \psi \rangle_m = \langle C\varphi, \psi \rangle_{L^2}$$

- Completion: The Sobolev space  $H_{-1}(X)$ .
- $C$  commutes with all isometries on  $X$ , in particular

$$(C\varphi) \circ \tau = C(\varphi \circ \tau)$$

leading to a unitary rep. of  $G = \text{Iso}(X)$ .



## Theorem

Assume that  $(X, \tau)$  is dissecting.

a) Let  $\mathcal{E} = H_{-1}(X)$  be the completion of  $L^2(X)$  w.r.t.  $\|\cdot\|_m$  and let  $\mathcal{E}_+$  be the closed subspace generated by  $L^2(X_+)$ . Define  $\theta : \mathcal{E} \rightarrow \mathcal{E}$  by  $\theta\varphi = \varphi \circ \tau$ . Then  $(\mathcal{E}, \mathcal{E}_+, \theta)$  is reflection positive.

b) Let  $G = \text{Iso}(X)$  and define  $\tau : G \rightarrow G$  by  $\tau(g) = \tau g \tau$ . Define a unitary representation of  $G$  on  $\mathcal{E}$  by  $\pi_m(g)\varphi = \varphi \circ g^{-1}$ . Then  $\pi_m$  is reflection positive.

c)[Dimock, Zero time realization] The space  $\hat{\mathcal{E}}$  is isomorphic to the space of elements in  $H_{-1}(X)$  supported on  $X_0 = X^\tau$ .

# The sphere $S^n$

- The only compact, dissecting symmetric space is

$$S^n = SO_{n+1}/SO_n = O_{n+1}/O_n$$

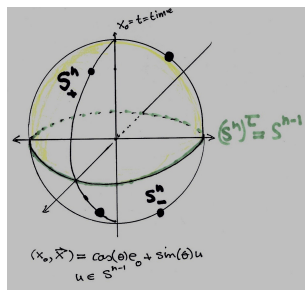
with

$$\tau(x_0, x) = (-x_0, x),$$

$$\tau(g) = I_{1,n} g I_{1,n}$$

$$S_0^n = S^{n\tau} = \{(0, x) \mid x \in S^{n-1}\}$$

$$S_{\pm}^n = \{(x_0, x) \mid \pm x_0 > 0\}.$$



# The distribution $\Psi$

- Positive definite distribution defined by

$$\Phi(\varphi \otimes \psi) = \langle \bar{\varphi}, \psi \rangle_m = \int_{S^n \times S^n} C \bar{\varphi}(x) \bar{\psi}(y) d\sigma(x) d\sigma(y)$$

where  $\sigma$  is the unique rotational invariant probability measure on  $S^n$ .

- Satisfies the equation

$$(m^2 - \Delta)_x \Phi = (m^2 - \Delta) \Phi = \delta(x, y)$$

- $\Rightarrow$  On  $S^n \times S^n \setminus S^n$  given by a  $G$ -invariant analytic positive definite function  $\phi_m(x, y) = \phi_y(x)$ .
- Twisting by  $\tau$  in the first variable we obtain the twisted inner product on  $\mathcal{E}_+$  resulting in the function  $\psi(x, y) = \phi(\tau x, y)$ . Let  $\psi(x) := \psi(x, -e_0)$ .

# The function $\psi$

## Theorem (Neeb-Ó, 2020)

We have:

- The function  $\psi$  is analytic on  $S^n \setminus \{-e_0\}$ . and satisfies the differential equation

$$\Delta\psi = m^2\psi \quad \text{on } S^n \setminus \{-e_0\} \quad (2)$$

and is invariant under rotation around the  $e_0$ -axis, i.e.  $\psi(gx) = \psi(x)$  for all  $g \in O_n$ .

- $\psi(\cos te_0 + \sin tu) = \gamma {}_2F_1(\rho + \lambda, \rho - \lambda, \frac{n}{2}; \sin^2(t/2))$  with a known constant  $\gamma$ ,  $\rho = (n - 1)/2$ ,  $\lambda = \sqrt{\rho^2 - m^2}$ .

# The crown

- We can take  $O_{1,n}^\uparrow$  as  $G^c$  and try to understand the representation  $\widehat{\pi}$ . For that one define (see also Bros and co-authors):

$$\Xi = G^c S_+^n \subset S_{\mathbb{C}}^n = SO_{n+1}(\mathbb{C})/SO_n(\mathbb{C})$$

- As we are working inside  $S_{\mathbb{C}}^n$  and  $O_{n+1,\mathbb{C}}$  one has to trace where to put the  $i$ s. For that let  $V = \mathbb{R}e_0 + i \sum_{j=1}^n \mathbb{R}e_j$  and note that  $\langle (v_0, v), (v_0, v) \rangle_E = v_0^2 - \|v\|^2 = \langle v, v \rangle_L$ .

# Important description of $\Xi$

Theorem (N-Ó, 2019)

We have the following description of  $\Xi$ .

- Let  $C_+ \subset V$  be the open light cone

$$C_+ = \{(v_0, v) \in V \mid v_0 > 0 \langle v, v \rangle > 0\}$$

and  $T_{C_+} = V + iC_+$ , Then  $\Xi = S_{+\mathbb{C}}^n \cap T_{C_+}$ .

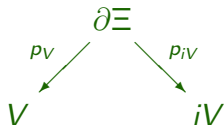
- $\Xi = \{v \in V_{\mathbb{C}} \mid [z, z]_v \notin (-\infty, -1]\}$ .
- $\Xi$  is a complex manifold isomorphic to the Lie ball  $SO_{2,n}/S(O_2 \times O_n)$  (see the work of Stanton-Krötz).
- The kernel  $\Psi$  has a holomorphic extension to a holomorphic positive definite kernel on  $\Xi \times \bar{\Xi}$  given by

$$\psi(z, w) = \gamma_2 F_1(\rho + \lambda, \rho - \lambda, n/2; \frac{1 - [z, \bar{w}]}{2})$$

$\bar{w}$  conjugation wrt  $V$ .

# Important geometric facts about $\Xi, I$

Consider the double fibration:



Both  $p_V$  and  $p_{iV}$  are  $G^c$ -equivariant maps. Hence the projection of a  $G^c$ -invariant subset is again  $G^c$ -invariant in  $V$ , resp.,  $iV$ .

## Theorem

The boundary of  $\Xi$  in  $S_{\mathbb{C}}^n$  consists of two  $G^c$ -orbits:

- The deSitter space

$$dS^n := i\{v \in V [v, v]_V = -1\} = S_{\mathbb{C}}^n \cap iV = SO_{1,n}/SO_{1,n-1}.$$

- The light like vectors

$$\mathbb{L}_+^n := \{v = (v_0, iv) \in V [v, v]_V = 0, v_0 > 0\} = G^c \cdot \xi^0 \simeq G^c/P.$$

# More geometric facts and limits

## Theorem

Let  $\mathbb{H}^n = \{[v, v]_{v=1, v_0 > 0}\} = G^c e_0$ . The space  $\mathbb{H}^n$  is a Riemannian symmetric space and  $\mathbb{H}^n \subset \Xi$ .

The crown can be used to transfer information between  $S_+^n$ ,  $\mathbb{H}^n$ ,  $\mathbb{L}_+^n$  and  $dS^n$  via analytic continuation, restriction and boundary value.

## Theorem

Let  $y \in dS^n$ . The limit process

$$\lim_{\Xi \ni v \rightarrow y} \int_{dS^n} \overline{\varphi(x)} \psi(x, v) d\mu(x), \quad \varphi \in C_c^\infty(dS^n)$$

defines a distribution with singular support  $\{(y, -y) \mid y \in dS^n\}$ .

See Frahm-Neeb-Ó, Iswarya-Ó (both in preparation) and Gindikin-Krötz-Ó for details



# The representation $\pi_m$

- The positive definite kernel  $\psi$  defines a Hilbert space  $\mathcal{H}_m \subset \mathcal{O}(\Xi \times \bar{\Xi})$  and unitary representation  $\pi_m$  defined by the GNS construction:

- The space  $\{\sum_{\text{finite}} \psi(\cdot, x_j) \mid x_i \in \Xi\}$  is dense and

$$\langle \psi(\cdot, x), \psi(\cdot, y) \rangle = \psi(y, x).$$

- $\pi_m(g)\psi(x, y) = \psi(g^{-1}x, y) = \psi(x, gy).$

# Identification of the representation $\widehat{\pi}$

## Theorem (Neeb-Ó 2019)

*The following holds true:*

- *The representation  $\pi_m$  is irreducible with a  $K = \mathrm{SO}_n$ -invariant vector  $\psi(\cdot, \mathbf{e}_0)$ .*
- $\widehat{\pi} \simeq \pi_m$ .
- *If  $\pi$  is an irreducible unitary representation of  $G^c$  with a non-zero  $K$ -fixed vector then  $\pi \simeq \pi_m$  for some  $m$ .*
- *The representation  $\pi_m$  extends to a anti-unitary representation of  $G^c \ltimes \{\mathrm{id}, \tau\}$  by*

$$\pi_m(\tau)F(x.y) = \overline{F(\overline{\tau(x)}, \overline{\tau(y)})}.$$