

# Standard groupoids of von Neumann algebras

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# Plan

- 1 Operator algebras
- 2 Groupoids
- 3 Geometry of standard forms
- 4 Examples

# Operator algebras

- $L^\infty(\mathcal{H})$  the set of all bounded linear operators
- lattice of projections  $\mathcal{L} := \{p \in L^\infty(\mathcal{H}) : p^2 = p^* = p\}$
- partial isometries  $\mathcal{U} := \{v \in L^\infty(\mathcal{H}) : v^*v \in \mathcal{L}\}$
  
- $\text{Tr} : L^\infty(\mathcal{H})^+ \rightarrow [0, \infty]$ ,  $\text{Tr}(x) := \sum_{j \in J} \langle x\gamma_j | \gamma_j \rangle$  for any o.n.b.  $(\gamma_j)_{j \in J}$
- $L^1(\mathcal{H}) := \{x \in L^\infty(\mathcal{H}) : \text{Tr}(|x|) < \infty\}$
- duality pairing  $\langle \cdot, \cdot \rangle : L^\infty(\mathcal{H}) \times L^1(\mathcal{H}) \rightarrow \mathbb{C}$ ,  $\langle x, y \rangle := \text{Tr}(xy)$
- $\leadsto$   $L^1(\mathcal{H})$  is predual to  $L^\infty(\mathcal{H})$  that is,  $L^\infty(\mathcal{H}) \simeq (L^1(\mathcal{H}))^*$
  
- **$W^*$ -algebra** :=  $C^*$ -algebra  $\mathfrak{M}$  with a predual  $\mathfrak{M}_*$ , hence  $\mathfrak{M} = (\mathfrak{M}_*)^*$

$$\iff \mathfrak{M} \simeq \underbrace{\text{weakly closed } *\text{-subalgebra} \subseteq L^\infty(\mathcal{H})}_{\text{von Neumann algebra}}$$

# Groupoids

**Example:** ~~direct product~~ disjoint union of two groups  $G \sqcup H$

- ▶ canonical projection  $G \sqcup H \rightarrow \{\mathbf{1}_G, \mathbf{1}_H\}$
- ▶ multiplication is partially defined, only for elements in the same fiber

**Groupoid** = associative partial multiplication on a set (“of arrows”)  $\mathcal{G}$ :

- ▶ set of unit elements (“objects”)  $\mathcal{G}_0$
  - ▶ object inclusion map  $\epsilon: \mathcal{G}_0 \hookrightarrow \mathcal{G}$
  - ▶ source/target maps  $\mathbf{s}, \mathbf{t}: \mathcal{G} \rightarrow \mathcal{G}_0$
  - ▶ set of composable pairs  $\mathcal{G} * \mathcal{G} := \{(\gamma_1, \gamma_2) \in \mathcal{G} \times \mathcal{G} \mid \mathbf{s}(\gamma_1) = \mathbf{t}(\gamma_2)\}$
  - ▶ multiplication map  $\mathcal{G} * \mathcal{G} \rightarrow \mathcal{G}, (\gamma_1, \gamma_2) \mapsto \gamma_1 \cdot \gamma_2$
  - ▶ inversion map  $\iota: \mathcal{G} \rightarrow \mathcal{G}$
- should be denoted  $\mathcal{G} \begin{smallmatrix} \mathbf{t} \\ \rightrightarrows \\ \mathbf{s} \end{smallmatrix} \mathcal{G}_0$  but we keep it simple:  $\mathcal{G} \rightrightarrows \mathcal{G}_0$

# Groupoids associated to a $W^*$ -algebra $\mathfrak{M}$

**Example 1:** unitary group  $U(\mathfrak{M}) := \{u \in \mathfrak{M} : u^*u = uu^* = \mathbf{1}\} \rightrightarrows \{\mathbf{1}\}$   
This is a Banach-Lie group.

**Example 2:** groupoid of partial isometries  $\mathcal{U}(\mathfrak{M}) \rightrightarrows \mathcal{L}(\mathfrak{M})$

- ▶ objects:  $\mathcal{L}(\mathfrak{M}) = \{p \in \mathfrak{M} \mid p = p^* = p^2\}$  orthogonal projections
- ▶ arrows:  $\mathcal{U}(\mathfrak{M}) = \{v \in \mathfrak{M} \mid v^*v \in \mathcal{L}(\mathfrak{M})\}$  partial isometries
- ▶ object inclusion map  $\epsilon: \mathcal{L}(\mathfrak{M}) \hookrightarrow \mathcal{U}(\mathfrak{M})$
- ▶ source/target maps  $\mathbf{s}, \mathbf{t}: \mathcal{U}(\mathfrak{M}) \rightarrow \mathcal{L}(\mathfrak{M})$ ,  $\mathbf{s}(v) = v^*v$ ,  $\mathbf{t}(v) = vv^*$
- ▶ multiplication:  $v_1, v_2 \in \mathcal{U}(\mathfrak{M})$ ,  $\mathbf{s}(v_1) = \mathbf{t}(v_2) \implies v_1v_2 \in \mathcal{U}(\mathfrak{M})$
- ▶ inversion map  $\iota: \mathcal{U}(\mathfrak{M}) \rightarrow \mathcal{U}(\mathfrak{M})$ ,  $v \mapsto v^*$

This is a Banach-Lie groupoid.

# Intermezzo

Why study group-like structures that arise from operator algebras?

**Answer:** for the sake of gaining a geometric perspective.

For, **geometry = invariant theory of some transformation group**(oid...), according to Felix Klein (1812) and others.

## More recent history:

- R.V. Kadison: unitary groups (1952)  
.....
- H. Porta, L. Recht: Grassmann manifolds (1987)  
.....
- A. Odziejewicz, T. Ratiu: Poisson geometry on  $W^*$ -algebras ('03)
- E. Andruchow, G. Corach, M. Mbekhta: generalized inverses ('05)  
.....
- A. Odziejewicz, A. Sliżewska: groupoids associated to  $W^*$ -algebras ('16)
- D. B., T.Goliński, G.Jakimowicz, F.Pelletier: Banach-Lie groupoids ('19)

## Standard forms of $W^*$ -algebras

- Every  $W^*$ -algebra can be realized as a **standard von Neumann algebra**.

That is, a von Neumann algebra  $\mathfrak{M} \subseteq L^\infty(\mathcal{H})$ , along with an antilinear unitary  $J = J^{-1}: \mathcal{H} \rightarrow \mathcal{H}$  and a self-dual cone  $\mathcal{P} \subset \mathcal{H}$  satisfying

- (i)  $J\mathfrak{M}J = \mathfrak{M}'$  ( $:= \{y \in L^\infty(\mathcal{H}) \mid xy = yx \text{ for all } x \in \mathfrak{M}\}$ );
- (ii)  $JxJ = x^*$  for  $x \in \mathfrak{M} \cap \mathfrak{M}'$ ;
- (iii)  $J\gamma = \gamma$  for  $\gamma \in \mathcal{P}$ ;
- (iv)  $xJxJ\mathcal{P} \subset \mathcal{P}$  for  $x \in \mathfrak{M}$ .

- The standard form of any  $W^*$ -algebra is unique up to unitary equivalence.

# Standard groupoids of $W^*$ -algebras

## Theorem 1

- ▶  $(\mathfrak{M}, \mathcal{H}, J, \mathcal{P})$  standard form
- ▶  $\mathfrak{M}_*^+ := \{\rho \in \mathfrak{M}_* \mid \rho(x^*x) \geq 0 \text{ for all } x \in \mathfrak{M}\}$
- ▶  $E: \mathcal{H} \rightarrow \mathfrak{M}_*^+, E(\gamma) := |\gamma\rangle\langle\gamma| = \langle\gamma \mid \bullet \gamma\rangle$

$\leadsto$  groupoid  $\mathcal{H} \rightrightarrows \mathfrak{M}_*^+$  having its source map  $E$  and inversion map  $J$

**Example:**  $\mathfrak{M} = L^\infty(T, \mu)$  commutative,  $\mathfrak{M}_* = L^1(T, \mu)$

- $\mathcal{H} = L^2(T, \mu)$
- $s = t: L^2(T, \mu) \rightarrow L^1(T, \mu)^+, \gamma \mapsto |\gamma|^2$

$\leadsto$  the standard groupoid  $\mathcal{H} \rightrightarrows \mathfrak{M}_*^+$  is a **group bundle**



# Standard groupoids as symplectic-like groupoids

- The base of the standard groupoid  $\mathcal{H} \rightrightarrows \mathfrak{M}_*^+$  is a convex cone rather than a manifold.
- A. Odziejewicz & al.:  $\mathfrak{M}_*$  carries a Poisson bracket  $\{\cdot, \cdot\}$  for which

$$(\mathfrak{M}, [\cdot, \cdot]) \hookrightarrow (\mathcal{C}^\infty(\mathfrak{M}_*), \{\cdot, \cdot\})$$

## Theorem 2

- ▶ The orbits of the standard groupoid of  $\mathfrak{M}$  are weakly symplectic Banach manifolds.  
Its transitive subgroupoids are Banach-Lie groupoids.
- ▶ Source/target maps are Poisson/anti-Poisson maps.
- ▶ The graph of the multiplication is an isotropic submanifold  $\{(\gamma_1, \gamma_2, \gamma_1 \bullet \gamma_2) : (\gamma_1, \gamma_2) \in \mathcal{H} * \mathcal{H}\} \subseteq \mathcal{H} \times \mathcal{H} \times \overline{\mathcal{H}}$

## Example: type I factors

- $\mathfrak{M}_0 = L^\infty(\mathcal{H}_0)$  with its predual  $(\mathfrak{M}_0)_* = L^1(\mathcal{H}_0)$
- $\mathcal{H} = L^2(\mathcal{H}_0) := \{x \in \mathfrak{M}_0 \mid \text{Tr}(x^*x) < \infty\}$
- $J: \mathcal{H} \rightarrow \mathcal{H}, J(x) := x^*$
- $\mathcal{P} := \{x \in \mathcal{H} \mid x \geq 0\}$
- for all  $a \in \mathfrak{M}_0$  we define  $\lambda(a): \mathcal{H} \rightarrow \mathcal{H}, \lambda(a)\gamma := a\gamma$ , and then  $\mathfrak{M}_0 \simeq \lambda(\mathfrak{M}_0) =: \mathfrak{M} \subseteq L^\infty(\mathcal{H})$

$\rightsquigarrow (\mathfrak{M}, \mathcal{H}, J, \mathcal{P})$  standard form of  $\mathfrak{M}_0$

$\rightsquigarrow L^2(\mathcal{H}_0) \rightrightarrows L^1(\mathcal{H}_0) \cap \mathcal{P}$  its corresponding standard groupoid with its source/target maps

$$\mathbf{s}, \mathbf{t}: L^2(\mathcal{H}_0) \rightarrow L^1(\mathcal{H}_0) \cap \mathcal{P}, \quad \mathbf{s}(\gamma) = \gamma^*\gamma, \quad \mathbf{t}(\gamma) = \gamma\gamma^*$$

# Standard groupoids of finite $W^*$ -algebras

A  $W^*$ -algebra  $\mathfrak{M}$  is *finite* if every isometry in  $\mathfrak{M}$  is unitary, i.e.,

$$u \in \mathfrak{M} \quad \& \quad u^*u = \mathbf{1} \implies uu^* = \mathbf{1}$$

**Examples of finite  $W^*$ -algebras:**

- 1 Type I:  $M_n(\mathbb{C})$ ,  $n = 1, 2, \dots$
- 2 Type II: the von Neumann algebra of any countable discrete group

For any  $W^*$ -algebra  $\mathfrak{M}$  the following conditions are equivalent:

- ▶  $\mathfrak{M}$  is finite
- ▶ The orbits of the standard groupoid of  $\mathfrak{M}$  are connected.

# Standard groupoids of purely infinite $W^*$ -algebras

$\mathfrak{M}$  is *purely infinite* (type III) if every nonzero projection is infinite:

$$p \in \mathcal{L}(\mathfrak{M}) \setminus \{0\} \implies (\exists u \in \mathfrak{M}) \quad p = u^* u > uu^*$$

If  $\mathfrak{M}$  is a factor with separable predual, the following are equivalent:

- ▶  $\mathfrak{M}$  is purely infinite
- ▶ Each nonzero orbit of the standard groupoid of  $\mathfrak{M}$  has exactly two connected components.

## Standard groupoids of type III<sub>1</sub>

**Special (type III<sub>1</sub>) purely infinite  $W^*$ -algebras** occur in some models of relativistic QFT (Haag-Kastler-Araki-Borchers-...)

$\mathfrak{M}$  is type III<sub>1</sub> if

- 1  $\mathfrak{M}$  is purely infinite
- 2  $\mathfrak{M}_*$  is separable
- 3 for every faithful state  $\rho \in \mathfrak{M}_*^+$  its modular operator  $\Delta_\rho$  has its spectrum equal to  $[0, \infty)$ .

If  $\mathfrak{M}$  is a factor with separable predual, the following are equivalent:

- ▶  $\mathfrak{M}$  is type III<sub>1</sub>
- ▶ The norm-closure of every orbit of the standard groupoid of  $\mathfrak{M}$  is a sphere in  $\mathfrak{M}_*^+$ .