

# Supergroup geometry of gauge PDE and AKSZ sigma models

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Based on:

*M.G., 1606.07532*

*M.G., A. Verbovetsky, to appear*

*K. Alkalaev, M.G. 2013*

*Glenn Barnich, M.G. 2010*

*M.G. 2010,2012*

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## Motivations

- Batalin-Vilkovisky (BV) approach to gauge systems (or its generalizations) is probably the most powerful.  
*Batalin, (Fradkin), Vilkovisky, 1981 . . .*
- For various topological models their BV formulation can be cast into the form of AKSZ sigma model.  
*Alexandrov, Kontsevich, Schwartz, Zaboronsky, 1994 .*
- In so doing the equations of motion, gauge symmetries, etc. are encoded in a homological vector field  $Q$  on the target space, which is a  $Q$ -manifold (or  $QP$ -manifold in Lagrangian case).

- A natural question is whether the same can be done for non-topological systems? How the gauge symmetries, **Lagrangians**, etc. are encoded in the geometry of the target space?
- For a local gauge theory AKSZ-like formulation has certain advantages over the usual jet-space version of the BV formalism **Henneaux; Barnich, Brandt, Henneaux**

This has to do with the manifest background independence of AKSZ, which can be employed in studying boundary values, manifest realization symmetries etc.

## Batalin-Vilkovisky formalism:

Given equations  $T_a$ , gauge symmetries  $R_\alpha^i$ , reducibility relations, ... the BRST differential:

$$\begin{aligned} s &= \delta + \gamma + \dots, & s^2 &= 0, & \text{gh}(s) &= 1 \\ \delta &= T_a \frac{\partial}{\partial \mathcal{P}_a} + Z_A^a \mathcal{P}_a \frac{\partial}{\partial \pi^A} \dots, & \gamma &= c^\alpha R_\alpha^i \frac{\partial}{\partial \phi_i} + \dots \end{aligned}$$

$\delta$  – (Koszule-Tate) restriction to the stationary surface  
 $\gamma$  – implements gauge invariance condition  
 $\phi^i$  – fields,  $c^\alpha$  – ghosts,  
 $\mathcal{P}_a$  – ghost momenta,  $\pi^A$  – reducibility ghost momenta

$$\text{gh}(\phi^i) = 0, \quad \text{gh}(c^\alpha) = 1, \quad \text{gh}(\mathcal{P}_a) = -1, \quad \dots$$

**BRST differential completely defines the system.**

Equations of motion and gauge symmetries can be read off from  $s$ :

$$s \mathcal{P}_a |_{\mathcal{P}_a=0, c^\alpha=0, \dots} = 0, \quad \delta_\epsilon \phi^i = (s \phi^i) |_{c^\alpha=\epsilon^\alpha, \mathcal{P}_a=0, \dots}$$

If the theory is Lagrangian then:  $T_i = \frac{\delta S_0}{\delta \phi^i}$ , reducibility relations  $R_\alpha^i T_i = 0$  so that  $Z_\alpha^i = R_\alpha^i$   
 Natural bracket structure (antibracket)

$$(\phi^i, \mathcal{P}_j) = \delta_j^i \quad (c^\alpha, \mathcal{P}_\beta) = \delta_\beta^\alpha$$

BV master action

$$s = (\cdot, S_{BV}), \quad S_{BV} = S_0 + \mathcal{P}_i R_\alpha^i c^\alpha + \dots$$

Master equation:

$$(S_{BV}, S_{BV}) = 0 \iff s^2 = 0$$

Example: YM theory  
 Fields:  $A_\mu, C$  (with values in the Lie algebra)  
 Antifields:  $A^{*\mu}, C^*$   
 Gauge part BRST differential:  $\gamma A_\mu = \partial_\mu C + [A_\mu, C]$   
 Master action:

$$S_{BV} = S_0 + \int d^n x \text{Tr}[A^{*\mu}(\partial_\mu C + [A_\mu, C]) + \frac{1}{2} C^*[C, C]]$$

## AKSZ sigma models

$M$  - supermanifold (target space) with coordinates  $\Psi^A$ :

Ghost degree –  $\text{gh}()$

(odd)symplectic structure  $\sigma$ ,  $\text{gh}(\sigma) = n - 1$  and hence

(odd)Poisson bracket  $\{\cdot, \cdot\}$ ,  $\text{gh}(\{\cdot, \cdot\}) = -n + 1$

“BRST potential”  $S_M(\Psi)$ ,  $\text{gh}(S_M) = n$ , master equation

$\{S_M, S_M\} = 0$

(QP structure:  $Q = \{\cdot, S_M\}$  and  $P = \{\cdot, \cdot\}$ )

$\mathcal{X}$  - supermanifold (source space)

Ghost degree  $\text{gh}()$

$d$  – odd vector field,  $d^2 = 0$ ,  $\text{gh}(d) = 1$

Typically,  $\mathcal{X} = T[1]X$ , coordinates  $x^\mu$ ,  $\theta^\mu \equiv dx^\mu$ ,  $d = \theta^\mu \frac{\partial}{\partial x^\mu}$ ,  
 $\mu = 0, \dots, n - 1$

$\Phi : \mathcal{X} \rightarrow M$ . Fields  $\Psi^A(x, \theta) \equiv \Phi^*(\Psi^A)$ .

BV master action

$$S_{BV} = \int [(\Phi^*(\chi))(d) + \Phi^*(S_M)] , \quad \text{gh}(S_{BV}) = 0$$

$\chi$  is potential for  $\sigma = d\chi$ . In components:

$$S_{BV} = \int d^n x d^n \theta [\chi_A(\Psi(x, \theta)) d\Psi^A(x, \theta) + S_M(\Psi(x, \theta))]$$

BV antibracket

$$(F, G) = \int d^n x d^n \theta \left( \frac{\delta^R F}{\delta \Psi^A(x, \theta)} \sigma^{AB} \frac{\delta G}{\delta \Psi^B(x, \theta)} \right), \quad \text{gh}(,) = 1$$

$\sigma^{AB}(\Psi)$  – components of the Poisson bivector.

Master equation:

$$(S_{BV}, S_{BV}) = 0,$$

BRST differential:

$$s^{AKSZ} \Psi^A(x, \theta) = d\Psi^A(x, \theta) + Q^A(\Psi(x, \theta)), \quad Q^A = \{\Psi^A, S_M\}$$

Natural lift of  $Q$  and  $d$  to the space of maps.

Dynamical fields: those of vanishing ghost degree

$$\Psi^A(x, \theta) = \overset{0}{\Psi}{}^A(x) + \overset{1}{\Psi}{}^A_\mu(x)\theta^\mu + \dots$$
$$\text{gh}(\overset{k}{\Psi}{}^A_{\mu_1\dots\mu_k}) = \text{gh}(\Psi^A) - k$$

If  $\text{gh}(\Psi^A) = k$  with  $k \geq 0$  then  $\overset{k}{\Psi}{}^A_{\mu_1\dots\mu_k}(x)$  is dynamical.

## AKSZ equations of motion

$$\sigma_{AB}(d\Psi^A + Q^A) = 0, \quad \Rightarrow \quad d\Psi^A(x, \theta) + Q^A(\Psi(x, \theta)) = 0$$

(recall:  $\sigma_{AB}$  is invertible)

**AKSZ at the level of equations of motion (nonlagrangian)**

$$\{, \}, S_M \quad \Rightarrow \quad Q = Q^A \frac{\partial}{\partial \Psi^A} \quad Q^2 = 0.$$

I.e. target is a generic  $Q$  manifold.

target doesn't know **dim  $X$ !** (Recall  $\text{gh}(S_M) = n = \dim X$ )

If  $\text{gh}(\Psi^A) \geq 0 \quad \forall \Psi^A$  then BV-BRST extended FDA.

Otherwise BV-BRST extended FDA with constraints.

## Examples:

Chern-Simons:

Target space  $M$ :

$M = \mathfrak{g}[1]$ ,  $\mathfrak{g}$  – Lie algebra with invariant inner product.  
 $e_i$  – basis in  $\mathfrak{g}$ ,  $C^i$  – coordinates on  $\mathfrak{g}$ ,  $\text{gh}(C^i) = 1$ ,  $C = C^i e_i$

$$S_M = \frac{1}{6} \langle C, [C, C] \rangle, \quad \{C^i, C^j\} = \langle e_i, e_j \rangle^{-1}$$

Source space:

$\mathcal{X} = T[1]X$ ,  $X$  – 3-dim manifold. Fixed content

$$C^i(x, \theta) = \textcolor{red}{c^i(x)} + \theta^\mu A_\mu^i(x) + \theta^\mu \theta^\nu A_{\mu\nu}^{*i} + (\theta)^3 \textcolor{red}{c^{*i}}$$

BV action

$$S_{BV} = \int (\frac{1}{2} \langle C, dC \rangle + \frac{1}{6} \langle C, [C, C] \rangle) = \int \frac{1}{2} \langle A, dA \rangle + \frac{1}{6} \langle A, [A, [A, A]] \rangle + \dots$$

## 1d AKSZ systems

Target space  $M$  – Extended phase space:  $\{, \}$  – Poisson bracket,  $S_M = \Omega - \theta H$ ,  $\Omega$  – BRST charge,  $H$  – BRST invariant Hamiltonian

Source space  $\mathcal{X} = T[1](\mathbb{R}^1)$ , coordinates  $t, \theta$

BV action

*M. G., Damgaard, 1999*

$$S_{BV} = \int dt d\theta (\chi_A d\psi^A + \Omega - \theta H)$$

Integration over  $\theta$  gives BV for the Hamiltonian action

*Fisch, Henneaux, 1989, Batalin, Fradkin 1988.*

Example: coordinates on  $M$ :  $\tilde{c}, \tilde{\mathcal{P}}, \tilde{x}^\mu, \tilde{p}_\mu$ , BRST charge  $\Omega = \tilde{c}(\tilde{p}^2 - m^2)$ ,

$$\begin{aligned} S_{BV} &= \int dt d\theta (\tilde{p}_\mu d\tilde{x}^\mu + \tilde{\mathcal{P}} d\tilde{c} + \tilde{c}(p^2 - m^2)) = \int dt (p_\mu \dot{x}^\mu + \lambda(p^2 + m^2)) \\ \tilde{x}^\mu(t, \theta) &= x^\mu(t) + \theta p_*^\mu(t), \quad \tilde{p}_\mu(t, \theta) = p_\mu(t) + \theta x_\mu^*(t), \\ \tilde{c}(t, \theta) &= c(t) + \theta \lambda(t), \quad \dots \end{aligned}$$

- Background-independent
- AKSZ is both Lagrangian and Hamiltonian  
AKSZ model:  $(M, S_M, \{, \})$  and  $(\mathcal{X}, d)$ .  
Let  $X = X_S \times \mathbb{R}^1$
- $\Omega_{BFV} = \int_{X_S} [(\phi^*(\chi))(d) + \phi^*(S_M)] , \quad \text{gh}(\Omega_{BFV}) = 1$
- $\{\cdot, \cdot\}_{BFV} = \int d^{n-1}x d^{n-1}\theta \{\cdot, \cdot\} \quad \{\Omega_{BFV}, \Omega_{BFV}\}_{BFV} = 0.$
- Higher BRST charges  
Similarly:  $X_k \subset X$  – dimension- $k$  submanifold
- $\Omega_{X_k} = \int_{X_k} (\phi^*(\chi))(d) + \phi^*(S_M))$
- In particular,  $\Omega_{BFV} = \Omega_{X_S}$ ,  $S_{BV} = \Omega_X$

- At the level of equations of motion AKSZ is a generalization of so-called unfolded formalism independently developed in the context of HS theories *Vasiliev 1988, ...*
- At the level of equations of motion the same target space gives an AKSZ model for any  $X_k \subset X$  or even different  $X$ . Useful for “replacing space-time”. E.g. *Vasiliev 2002*
- (asymptotic) boundary values, e.g. in the context of AdS/CFT
- For higher-spin fields *Vasiliev, 2012; Bekaert M.G. 2012*
- Locally in  $X$  and  $M$ :  
 $H^g(s^{AKSZ}, \text{local functionals}) \cong H^{g+n}(Q, C^\infty(M))$   
Isomorphism sends  $f \in C^\infty(M)$  to functional  $F = \int f$ . Compatible with the bracket.
- If  $M$  finite dimensional and  $n > 1$  – the model is topological. **What about non-topological?**

## AKSZ form of PDE

### Jet-bundle:

Fiber-bundle  $\mathcal{F} \rightarrow X$  (for simplicity: direct product of  $\mathbb{R}^n \times \mathbb{R}^N$ ): base space (independent variables or space-time coordinates):  $x^a$ ,  $a = 1, \dots, n$ .

Fiber coordinates (dependent variables or fields)  $\phi^i$ . Jet-bundle:

$$J^0(\mathcal{F}) : x^a, \phi^i, \quad J^1(\mathcal{F}) : x^a, \phi^i, \phi_a^i, \quad J^2(\mathcal{F}) : x^a, \phi^i, \phi_a^i, \phi_{ab}^i, \dots$$

Projections:

$$\dots \rightarrow J^N(\mathcal{F}) \rightarrow J^{N-1}(\mathcal{F}) \rightarrow \dots \rightarrow J^1(\mathcal{F}) \rightarrow J^0(\mathcal{F}) = \mathcal{F}$$

Useful to work with  $J^\infty(\mathcal{F})$ . A **local** diff. form on  $J^\infty(\mathcal{F})$   
– a form on  $J^N(\mathcal{F})$  pulled back to  $J^\infty(\mathcal{F})$ .

$J^\infty$  is equipped with the total derivative

$$\partial_a^T = \frac{\partial}{\partial x^a} + \phi_a^i \frac{\partial}{\partial \phi^i} + \phi_{ab}^i \frac{\partial}{\partial \phi_b^i} + \dots$$

For a given section  $\phi^i = s^i(x)$  and local function  $f[\phi]$

$$(\partial_a^T f) \Big|_{\phi=s, \phi_a=\partial_a s, \dots} = \frac{\partial}{\partial x^a} (f \Big|_{\phi=s, \phi_a=\partial_a s, \phi_{ab}=\partial_a \partial_b s, \dots})$$

Space time differentials  $dx^a$ . Horizontal differential

$$d_h \equiv dx^a \partial_a^T, \quad d_h^2 = 0.$$

Differential forms:

$$\alpha = \alpha(x, dx, \phi, \phi_a, \dots)_{I_1 \dots I_k} d_V \phi^{I_1} \dots d_V \phi^{I_k}, \quad \phi^I = \phi_{a_1 \dots a_m}^i$$

Vertical differential:

$$d_V \equiv d - d_h = d_V \phi^I \frac{\partial}{\partial \phi^I}$$

Variational bicomplex (*Vinogradov's C-spectral sequence*):

$$d_V^2 = 0, \quad d_V d_h + d_h d_V = 0, \quad d_h^2 = 0$$

Bidegree  $(l, p)$ . On the jet space  $H^{>0}(d_V) = 0 = H^{<n}(d_h)$  (unless global geometry!).  $H^n(d_h) = \text{local functionals}$

A system of partially differential equations (PDE) is a collection of local functions on  $J^\infty(\mathcal{F})$

$$E_\alpha[\phi, x].$$

The equation manifold (stationary surface):  $\mathcal{E} \subset J^\infty(\mathcal{F})$  singled out by:

$$\partial_{\alpha_1}^T \dots \partial_{\alpha_l}^T E_\alpha = 0, \quad l = 0, 1, 2, \dots$$

understood as the algebraic equations in  $J^\infty(\mathcal{F})$ . It is usually assumed that  $x^a, \phi^i$  are not constrained, e.g.  $\mathcal{E}$  is a bundle over the space-time.

$\partial_a^T$  are tangent to  $\mathcal{E}$  and hence restricts to  $\mathcal{E}$ . So do the differentials  $d_h$  and  $d_V$ .  $\partial_a^T|_{\mathcal{E}}$  determine a  $\dim-n$  integrable distribution (Cartan distribution).

**Definition:** [Vinogradov] A PDE is a manifold  $\mathcal{E}$  equipped with an integrable distribution.

In addition one typically assumes regularity, constant rank, and that  $\mathcal{E}$  is a bundle over the spacetime. Use notation  $(\mathcal{E}, d_h)$ .

It is clear when PDEs are to be considered equivalent.

Differential forms on  $\mathcal{E}$  form the variational bicomplex of  $\mathcal{E}$ . Note that in general  $H^k(d_h) \neq 0$  for  $k < n$ .

## Scalar field Example:

Start with:

$$L = \frac{1}{2} \eta^{ab} \phi_a \phi_b - V(\phi), \quad \partial_a \partial^a \phi + \frac{\partial V}{\partial \phi} = 0.$$

$\mathcal{E}$  is coordinatized by  $x^a, \phi, \phi_a, \phi_{ab}, \dots$ . Already  $\phi_{ab}$  are not independent. One can e.g. take  $\phi_{abc\dots}$  traceless. The  $d_h$ -differential on  $\mathcal{E}$  reads as

$$d_h x^a = dx^a, \quad d_h \phi = dx^a \phi_a, \quad d_h \phi_a = dx^b (\phi_{ab} - \frac{1}{n} \eta_{ab} \frac{\partial V}{\partial \phi}), \quad \dots$$

So if the system is nonlinear, i.e.  $\frac{\partial V}{\partial \phi}$  nonlinear in  $\phi$ ,  $d_h$  is also nonlinear.

## Intrinsic (unfolded) realization

Given PDE  $(\mathcal{E}, d_h)$  defined intrinsically one can always find a jet space  $\mathcal{J}$  such that  $(\mathcal{E}, Q)$  can be realized as a stationary surface of some  $E_\alpha[u, x]$ .

There is an intrinsic way to realize  $(\mathcal{E}, d_h)$  explicitly. If  $x^a, \psi^A$  coordinates on  $\mathcal{E}$  (e.g.  $\psi^A = \{\phi, \phi_a, \phi_{ab}, \dots\}$ ) promote  $\psi^A$  to fields  $\psi^A(x) = \phi$  of a new theory and subject them to EOM's

$$d(\psi^A(x)) = (d_h \psi^A)(x) \quad \text{components: } \frac{\partial}{\partial x^a} \psi^A(x) = (\partial_a^T \psi^A)(x)$$

**Proposition:** *The original PDE  $(\mathcal{E}, d_h)$  is equivalent to  $d\psi^A = d_h \psi^A$*

Comments:

- Version of the unfolded formulation (though only zero forms). Unfolded form of gauge systems involves gauge form-fields. *Vasiliev, 1987, ...*
- Generalized version of the Proposition involving gauge forms and BRST extension was formulated and proved using BRST technique and Koszul-Tate differential. *Barnich, M.G., Semikhatov, Tipunin 2004, Barnich, M.G 2010*

## New jet-space

Because  $\mathcal{E}$  is a bundle over spacetime, take  $\mathcal{J}^{new} \equiv J^\infty(\mathcal{E})$ .  
More precisely, if  $x^a, dx^a, \psi^A$  are coordinates on  $\mathcal{E}$  then

$$x^a, \quad dx^a, \quad \psi_b^A, \quad \psi_{bc}^A, \quad \psi_{bcd}^A, \quad \dots$$

are coordinates on  $\mathcal{J}^{new}$ .

New jet space is equipped with its own horizontal differential:

$$D_h = dx^a \left( \frac{\partial}{\partial x^a} + \psi_a^A \frac{\partial}{\partial \psi^A} + \psi_{ab}^A \frac{\partial}{\partial \psi_b^A} + \dots \right)$$

“Old” differential  $d_h$  on  $\mathcal{E}$  extends to  $\mathcal{J}^{new}$  by  $[D_H, Q] = 0$ .  
In the new jet space  $\mathcal{J}^{new}$  consider the following PDE

$$D_h \psi^A = d_h \psi^A$$

In this form the new PDE is manifestly isomorphic to  $(\mathcal{E}, Q)$  (because manifolds are isomorphic and horizontal differentials are equal by construction)

## AKSZ form and reparametrization invariance

Consider  $dx^a$  as ghosts  $\xi^a$ , change notation  $x^a \rightarrow z^a$  and extend  $\mathcal{E}$  into a supermanifold with coordinates  $\Psi^A = \{z^a, \xi^a, \phi^i, \phi_a^i, \phi_{ab}^i, \dots\}$ . It is a  $Q$ -manifold:

$$Q = -d_h = -\xi^A \partial_a^T$$

Take  $\mathcal{X} = T[1]X$  with coordinates  $x^\mu, \theta^\mu$  and consider AKSZ model with source  $(\mathcal{X}, d)$  and target  $(\mathcal{E}, Q)$ .

Note that now  $z^a$  is promoted to a field  $z^a(x)$  and  $\xi^a$  to  $e_\mu^a(x) dx^\mu$ .

In fact: we are dealing with parametrized version.  
 $z^a(x)$  – space-time coordinates understood as fields  
 $e_\mu^a(x)$  – frame field components.

Gauge transf. for  $z^a$ :  $\delta z^a = \xi^a$ .  $Q$  is the BRST differential  
**implementing reparametrization invariance.**

Gauge condition  $z^a = \delta_\mu^a x^\mu$  give un-parametrized version:

$$d\Psi^A + Q^A(\Psi) = 0 \Rightarrow d\Psi^A(x) - \theta^a(\partial_a^T \Psi^A)(x, \theta) = 0$$

Recall:  $\partial_a^T$  – total derivative (vector field in the target).

## Extension to gauge theories

If  $(\mathcal{E}, d_h)$  has gauge symmetries there are parameters  $\epsilon^\alpha$  which are arbitrary space time functions. Promote them to ghost variables  $c^\alpha$  and consider the extension  $\bar{\mathcal{E}}$  of  $\mathcal{E}$  by the jet-space for  $c^\alpha$ :

$$C^I = \{c^\alpha, \quad c_a^\alpha, \quad c_{ab}^\alpha, \quad \dots\}$$

The gauge symmetry is encoded in vector field  $\gamma$  satisfying

$$[d_h, \gamma] = 0, \quad \gamma^2 = 0, \quad \text{gh}(\gamma) = 1$$

It can be written as

$$\gamma = C^I R_I^A(\psi) \frac{\partial}{\partial \psi^A} - \frac{1}{2} C^I C^J U_{IJ}^K(\psi) \frac{\partial}{\partial C^K}$$

Vector fields  $R_I$  determine an integrable distribution on  $\mathcal{E}$  ([gauge-distribution](#)), compatible with Cartan distribution.

## AKSZ form

Consider AKSZ model with source  $(\mathcal{X}, d)$  and the target  $(\bar{\mathcal{E}}, Q)$ , where

$$Q = -d_{\mathfrak{h}} + \gamma$$

Total differential familiar in the local BRST cohomology

*Stora, 1983, Barnich, Brandt, Henneaux 1993, ...*

Equivalent to the parametrized version of gauge system.

In addition to  $e_\mu^a(x)dx^\mu$  new 1-form fields  $A_\mu^I(x)dx^\mu$  associated to  $C^I$ .

The equivalence was proved using *Barnich, M.G. 2010*

$$\tilde{s} = -d_{\mathfrak{h}} + \delta + \gamma + \dots$$

where  $\delta$  is the Koszule–Tate differential of the stationary surface.

New feature: contractible pairs for  $Q$ : if by local invertible change of coordinates:

$$Qw^a = v^a, \quad Q\psi^\alpha = Q^\alpha(\psi)$$

then  $w^a, v^a$  are contractible pairs. Their elimination results in the reduced  $Q$ -manifold  $(Q, \tilde{\mathcal{E}})$ . Eliminating all such trivial pairs one arrives at “minimal”  $Q$ -manifold associated the gauge system

*Brandt, 1996*

The manifold of **generalized connections** and **tensor fields**.

For the AKSZ model trivial pairs give rise to generalized auxiliary fields. Lagrangian: *Dresse, Grégoire, Henneaux, 1990*  
EOM: *Barnich, M.G., Semikhatov, Tipunin, 2004*  
Their elimination is an equivalence of the respective AKSZ models.

## Example of Einstein gravity

For diffeomorphism-invariant theory parameterization brings nothing. It follows  $x^a, \xi^a$  can be eliminated together with  $d_h$ , giving  $Q = \gamma$ .

After elimination the contractible pairs of  $Q$  manifold  $\tilde{\mathcal{E}}$ :

$$e^a, \quad \omega^{ab}, \quad W_{ab}^{cd}, \quad W_{ab|e}^{cd}, \quad W_{ab|e\dots}^{cd}$$

– ghosts associated to frame field and spin connection and Weyl tensor and its independent covariant derivatives.

$$Qe^a = \omega^a{}_c e^c, \quad Q\omega^{ab} = \omega^a{}_c \omega^{cb} + \frac{1}{2} e^c e^d W_{cd}^{ab},$$

$$QW = eW + \omega W + \dots$$

**Minimal BRST complex ( $Q$ -manifold) for gravity.**

Gives minimal AKSZ formulation (unfolded formulation).

## Variational (Lagrangian) equations

Let us get back to equations  $E_i[\phi, x] = 0$  on the jet space  $J^\infty(\mathcal{F})$ . These are said variational (Lagrangian) if

$$\mathcal{E}_i = \frac{\delta^{EL} L}{\delta \phi^i}, \quad \frac{\delta^{EL} F[u, x]}{\delta \phi^i} \equiv \frac{\partial F}{\partial \phi^i} - \partial_a^T \frac{\partial F}{\partial \phi_a^i} + \partial_a^T \partial_b^T \frac{\partial F}{\partial \phi_{ab}^i} - \dots$$

for some local function  $L = L[\phi, x]$ . It is convenient to work in terms of Lagrangian density  $\mathcal{L} = (dx)^n L$ .

Here and below

$$(dx)^n = dx^1 \dots dx^n, \quad (dx)_a^{n-1} = \frac{1}{(n-1)!} \epsilon_{ab_2 \dots b_n} dx^{b_1} \dots dx^{b_n}$$

The notion of Lagrangian is explicitly based on the realization of the equation  $(\mathcal{E}, d_h)$  in terms of a jet space  $\mathcal{J}$ . For instance it's possible that  $\mathcal{E} \subset \mathcal{J}$  is variational while  $\mathcal{E} \subset \mathcal{J}'$  is not. Naive invariant object – the restriction of  $\mathcal{L}$  to  $\mathcal{E}$ , does not make much sense.

## Presymplectic structure

It is well-known that  $\mathcal{L} = (dx)^n L[x, \phi]$  induces an invariant object on  
*Crnkovic, Witten, 1987, Hydon 2005, ...*

$$d_{\mathbb{N}} \mathcal{L} = d\phi^i E_i (dx)^n - d_{\mathbb{H}} \hat{\chi}, \quad \text{components: } \frac{\delta^{EL} L}{\delta \phi^i} = \frac{\partial L}{\partial \dot{\phi}^i} + \partial_a^T (\hat{\chi}_i^a)$$

for some 1 form  $\hat{\chi} = \hat{\chi}_i dx^i + \hat{\chi}_{ia} dx^i \phi_a^i + \dots$  of degree  $n-1$ ,  
called presymplectic potential. For  $\chi = \hat{\chi}|_{\mathcal{E}}$  we have

$$d_{\mathbb{H}} \sigma = 0, \quad \sigma = d\chi$$

So we have conserved closed 2-form on  $\mathcal{E}$ . It's called  
canonical presymplectic structure.

As an example consider  $L(\phi, \phi_a, \phi_{ab})$ . One finds:

$$\chi = \left( \left( \frac{\partial L}{\partial \phi^a} - \partial_b^T \frac{\partial L}{\partial \phi_{ab}} \right) d\nu \phi + \frac{\partial L}{\partial \phi_{ab}} d\nu \phi_b \right) \Big|_{\mathcal{E}} (dx)_a^{n-1}$$

In particular, for a scalar field with  $L = \frac{1}{2} \eta^{ab} \phi_a \phi_b - V(\phi)$

$$\chi = \phi^a d\nu \phi (dx)_a^{n-1} , \quad \sigma = d\nu \phi^a d\nu \phi (dx)_a^{n-1}$$

More generally:

**Definition:** A 2-form  $\sigma$  of degree  $n - 1$  on  $(\mathcal{E}, d_h)$  is called compatible presymplectic structure if  $d_h \sigma = 0, d\sigma = 0$ .  
... , Khavkin 2012, Alkalaev, M.G. 2013

Such form in general can be considered irrespective of any realization in terms of jet-space and/or Lagrangian.

## Symmetries and conservation laws

A well-known fact: both symmetries and conservation laws can be defined in terms of the equation manifold  $(\mathcal{E}, d_h)$ .

Recall: a vector field  $\hat{V}$  on  $\mathcal{J}$  is a symmetry if it is evolutionary i.e.  $[d_h, \hat{V}] = 0$  and tangent to  $\mathcal{E} \subset \mathcal{J}$ .

Intrinsic terms: a vector field  $V$  on  $(\mathcal{E}, d_h)$  satisfying is called **symmetry** if  $[d_h, V] = 0$  (typically one also requires  $Vx^a = 0$ ).

If  $\mathcal{E} \subset \mathcal{J}$  is variational then variational symmetries restricted to  $\mathcal{E}$  satisfy in addition

$$L_V \sigma = d_h d_V \alpha$$

for some  $(n - 2, 1)$ -form  $\alpha$ .

Conservation law (conserved current) is a degree  $n - 1$  0-form  $K$  on  $\mathcal{E}$  such that  $d_h K = 0$ .  $K$  of the form  $K = d_h M$  **is trivial**.

Any compatible presymplectic structure determines a map from symmetries to conserved currents according to

$$dK = i_V \sigma - d_h \alpha,$$

Note:  $di_V \sigma = L_V \sigma = 0$ . Trivial symmetries are mapped to trivial conserved currents. In the Lagrangian case this is usual Noether theorem. General case was also discussed recently  
*Sharapov 2016*.

It is different from the Poisson (BV antibracket) bracket map from conservation laws to symmetries. The degenerate version of the bracket is known as Hamilton/Lagrange structure

*Kersten, Krasilshchik, Verbovetsky  
Kaparulin, Lyakhovich, Sharapov*

Suppose that  $(\mathcal{E}, d_{\mathfrak{h}}, \sigma)$  is realized as  $\mathcal{E} \subset J^\infty(\mathcal{F})$ . Then  $\sigma$  determines a Lagrangian form  $\mathcal{L}$  on  $J^\infty(\mathcal{F})$  such that the EL equations derived from  $\mathcal{L}$  are in general consequences of those defining  $\mathcal{E}$ .

*Khavkine 2012, based on earlier:*

[Bridges, Hydon, Lawson 2009](#), [Hydon 2005](#)

More precisely, if  $\mathcal{E}'$  is an equation manifold defined by  $\mathcal{L}$  then  $\mathcal{E} \subset \mathcal{E}'$ . Even if  $\sigma$  is canonical (derived from a Lagrangian) there is no guarantee that constructed  $\mathcal{L}$  is equivalent to the starting point Lagrangian.

## Intrinsic Lagrangian

Given an equation manifold  $(\mathcal{E}, d_{\mathfrak{h}}, \sigma)$  equipped with the compatible presymplectic structure one can construct a **natural** Lagrangian in terms of the  $\mathcal{E}$ -valued fields.

First: define covariant Hamiltonian (better BRST charge) which is a conserved current associated to  $d_{\mathfrak{h}}$  seen as a symmetry of  $\mathcal{E}$ . Degree  $n$  function  $\mathcal{H}$  on  $\mathcal{E}$  defined by

$$d_{\mathfrak{h}} \mathcal{H} = i_{d_{\mathfrak{h}}} \sigma, \quad \text{components: } \frac{\partial}{\partial \psi^A} \mathcal{H} = \sigma_{AB} d_{\mathfrak{h}} \psi^B$$

If  $\sigma$  originates from  $\mathcal{L}$ :  $\mathcal{H} = \chi_A d_{\mathfrak{h}} \psi^A - \mathcal{L}|_{\mathcal{E}}$  E.g. in the simple case where  $\mathcal{L} = (dx)^n L(\phi, \phi_a)$

$$\chi = \left( \frac{\partial L}{\partial \phi_a} d\psi \right)|_{\mathcal{E}} (dx)_a^{n-1}, \quad \mathcal{H} = \left( \frac{\partial L}{\partial \phi_a} \phi_a - L \right)|_{\mathcal{E}} (dx)^n$$

cf. de Donder-Weyl covariant Hamiltonian. The intrinsic Lagrangian

More invariant language:

It follows from  $d\sigma = 0$  that

$$\sigma = d(\chi + l) \quad l \text{ is an } (n, 0)\text{-form} \quad (1)$$

For canonical  $\sigma$   $l = \mathcal{L}|_{\mathcal{E}}$ .

New jet-space  $J^\infty(\mathcal{E})$ . Intrinsic Lagrangian

$$\mathcal{L}^C = \mathbf{H}(\pi^*(\chi + l)), \quad (2)$$

where  $\pi : J^\infty(M) \rightarrow M$ .  $\mathbf{H}$  denotes horizontalization map:

$$\mathbf{H}(dx^a) = dx^a, \quad \mathbf{H}(d\psi_{ab\dots}^A) = D_h \psi_{ab\dots}^A. \quad (3)$$

Switching to the language of  $Q$ -manifolds:

$$d\mathcal{H} = iQ\sigma$$

The respective action can be seen as presymplectic generalization

*A/ka/aev, M.G. 2013*

$$S^C = \int (\chi_A d\psi^A(x) - \mathcal{H}(\psi(x)))$$

of AKSZ action. Its equations of motion read as

$$\sigma_{AB}(d\psi^B(x) - Q^B(x)) = 0,$$

and hence are consequences of the original  $d\psi^B - Q^B = 0$ .

For a local theory  $\mathcal{L}^C$  does not depend on most of the fields  $\psi^A$ . These can be treated as pure-gauge variables with algebraic (shift) gauge transformations. With this interpretation and under certain assumptions we can prove that starting point  $\mathcal{L}$  and  $\mathcal{L}^C$  are equivalent for a wide class of systems (but not all).

## Examples

**Scalar field:** Start with:

$$L = \frac{1}{2} \eta^{ab} \phi_a \phi_b - V(\phi)$$

$\mathcal{E}$  is coordinatized by  $x^a, \phi, \phi_a, \phi_{ab}, \dots$  take  $\phi_{abc\dots}$  traceless.

The  $d_h$  differential

$$d_h x^a = dx^a, \quad d_h \phi = dx^a \phi_a, \quad d_h \phi_a = dx^b (\phi_{ab} - \frac{1}{n} \eta_{ab} \frac{\partial V}{\partial \phi})$$

The presymplectic potential and 2-form:

$$\chi = \left( \left( \frac{\partial L}{\partial \phi^a} - \partial_c^T \frac{\partial L}{\partial \phi_{ca}} \right) d\nu \phi \right) \Big|_{\mathcal{E}} (dx)_a^{n-1} = (dx)_a^{n-1} \phi^a d\nu \phi,$$

The Hamiltonian obtained from  $d\mathcal{H} - i_Q \sigma = 0$ :

$$\mathcal{H} = (dx)^n (\phi_a \phi^a - L|_{\mathcal{E}}) = \frac{1}{2} \phi^a \phi_a + V(\phi)$$

The intrinsic Larangian: *Schwinger*

$$\mathcal{L}^c = (dx)^n \left( \phi^a (\partial_a \phi - \frac{1}{2} \phi_a) - V(\phi) \right)$$

## Polywave equation

The simplest genuine higher derivative example is  $L = \frac{1}{2}\square\phi\square\phi = \frac{1}{2}\phi_{aa}\phi_{bb}$  (here and below  $\phi_{aa} = \eta^{ab}\phi_{ab}$ ). Presymplectic potential:

$$\chi = (-\phi_{acc}d\nu\phi + \phi_{cc}d\nu\phi_a)(dx)_a^{n-1}$$

Hamiltonian

$$\mathcal{H} = (dx)^n(-\phi_{acc}\phi_a + \frac{1}{2}\phi_{cc}\phi_{aa}).$$

The intrinsic action takes the form

$$S^C = \int d^nx(-\phi_{acc}(\partial_a\phi - \phi_a) + \phi_{cc}\partial_a\phi_a - \frac{1}{2}\phi_{aa}\phi_{cc}).$$

Note that the action depends on only the following variables  $\phi, \phi_a, \phi_{aa}, \phi_{acc}$  but NOT on the traceless component of  $\phi_{ab}$  and  $\phi_{abc}$ .

It is equivalent to  $\int \phi_{aa} \phi_{cc}$ . Indeed, varying  $\phi_a$  and  $\phi_{acc}$  gives  $\phi_a = \partial_a \phi$  and  $\phi_{acc} = \partial_a \phi_{cc}$  resulting in

$$\int d^n x (\phi_{cc} \partial_a \partial_a \phi - \frac{1}{2} \phi_{aa} \phi_{cc})$$

## YM theory

The YM field is  $A^a$  taking values in a Lie algebra  $\mathfrak{g}$  equipped with an invariant inner product  $\langle , \rangle$ . We will use notation  $A^a_{b_1 \dots b_l}$  for  $\partial_{b_1}^T \dots \partial_{b_l}^T A^a$ . The Lagrangian:

$$L = \frac{1}{4} \langle F_{ab}, F_{ab} \rangle, \quad F_{ab} := A_a^b - A_b^a + [A^a, A^b].$$

Coordinates on  $\mathcal{E}$ :

$$x^a, A^a, F_{ab}, S_{ab} := A_a^b + A_b^a, A_{bc}^a, \dots$$

The one form  $\chi$  is given by

$$\chi = \frac{\partial L}{\partial A_a^b} dA^b (dx)_a^{n-1} = \langle F_{ab}, dA^b \rangle (dx)_a^{n-1}$$

The Hamiltonian

$$\mathcal{H} = \left( \frac{\partial L}{\partial A_a^b} A_a^b - \frac{1}{4} \langle F_{ab}, F_{ab} \rangle \right) (dx) = \frac{1}{2} \langle F_{ab}, \frac{1}{2} F_{ab} - [A^a, A^b] \rangle$$

The intrinsic action

$$\begin{aligned} \int \frac{1}{2} \langle F_{ab}, \partial_a A^b - \partial_b A^a \rangle - \frac{1}{2} \langle F_{ab}, \frac{1}{2} F_{ab} - [A^a, A^b] \rangle = \\ \int \frac{1}{2} \langle F_{ab}, \partial_a A^b - \partial_b A^a + [A^a, A^b] - \frac{1}{2} F_{ab} \rangle \end{aligned}$$

equivalent to the starting point action through the elimination of  $F_{ab}$  by its own equations of motion.

Well-known first-order action for YM.

## Example of gravity: frame like Lagrangian

Recall: reducive  $Q$ -manifold  $\tilde{\mathcal{E}}$

$$e^a, \quad \omega^{ab}, \quad W_{ab}^{cd}, \quad W_{ab|e}^{cd}, \quad W_{ab|e\dots}^{cd}$$

- ghosts to which frame field and spin connection are associated and Weyl tensor and its covariant derivatives.

$$Qe^a = \omega^a{}_c e^c, \quad Q\omega^{ab} = \omega^a{}_c \omega^{cb} + e^c e^d W_{cd}^{ab}, \quad \dots,$$

Presymplectic potential  $\chi$  and form *Allkalaev, M.G. 2013*

$$\chi = \frac{1}{2} \epsilon_{abcd} d\omega^{ab} e^c e^d, \quad \sigma = d\omega^{ab} d e^c \epsilon_{abcd} e^d$$

Hamiltonian (term with Weyl tensor vanishes)

$$\mathcal{H} = Q^A \chi_A = \frac{1}{2} \omega_c^a \omega^{cb} \epsilon_{abcde} e^c e^d$$

Intrinsic action (frame-like GR action):

$$S^C = \int \chi_A (d\psi^A + Q^A) = \int (d\omega^{ab} + \omega^a{}_c \omega^{cb}) \epsilon_{abcde} e^c e^d$$

## Conclusions

- A Lagrangian system can be defined in terms of its equation manifold  $\mathcal{E}$  without referring to any particular realization of  $\mathcal{E}$  in one or another set of fields and choice of the Lagrangian. While the structure of the equation is encoded in the differential  $Q$  the Lagrangian is encoded in the compatible presymplectic structure  $\sigma$ .
- In particular, when looking for a Lagrangian for an equation  $\mathcal{E}$  it is enough to study compatible presymplectic structures on  $\mathcal{E}$ . No need to study possible explicit realizations of  $\mathcal{E}$ .
- Easy to see whether Lagrangian systems are equivalent or not.
- BRST extension to manifestly gauge systems. Intrinsic

Lagrangian = Frame-like Lagrangian.

- The presymplectic form can be seen to originate from the odd symplectic form of the Batalin-Vilkovisky formalism.

## Parent Lagrangian

One way to understand where do the structure of the intrinsic Lagrangian comes from is to consider “parent” action for  $L = L(\phi, \phi_a, \phi_{ab})$ :

$$S^P = \int (L(\phi, \phi_a, \phi_{ab}) + \pi^a(\partial_a\phi - \phi_a) + \pi^{ac}(\partial_a\phi_c - \phi_{ac}) + \dots) .$$

Its equations of motion read as

$$\begin{aligned}\frac{\partial L}{\partial \phi} - \partial_a \pi^a &= 0, \\ \pi^a - \frac{\partial L}{\partial \phi_a} + \partial_c \pi^{ca} &= 0, \quad \pi^{ab} - \frac{\partial L}{\partial \phi_{ab}} = 0, \\ \phi_a &= \partial_a \phi, \quad \phi_{ab} = \partial_{(a} \phi_{b)}, \quad \dots\end{aligned}$$

Using the last line the derivatives in the first two lines can be replaced with the total derivatives. Using the second line the first equation becomes EL

$$\frac{\partial L}{\partial \phi} - \partial_a^T \frac{\partial L}{\partial \phi_a} + \partial_c^T \partial_a^T \frac{\partial L}{\partial \phi_{ca}} = 0 .$$

Introduce 1-form of degree  $n - 1$ :

$$\bar{\chi} = (dx)_a^{n-1} (\pi^a d\phi + \pi^{ab} d\phi_b + \dots)$$

"parent" Hamiltonian

$$\bar{\mathcal{H}} = (\pi^a \phi_a + \pi^{ab} \phi_{ab} + \dots - L(\phi, \phi_a, \phi_{ab})) (dx)^n .$$

The parent action can be written as

$$S^P = \int (\bar{\chi}_A d\Psi^A - \bar{\mathcal{H}}) ,$$

where  $\Psi^A$  stand for all the coordinates  $\phi, \phi_{...}, \pi_{...}$ .

Consider the following submanifold of the space of  $x^a, dx^a, \phi, \pi \dots, \phi \dots$

$$\begin{aligned} \pi^a - \frac{\partial L}{\partial \dot{\phi}^a} + \partial_c^T \frac{\partial L}{\partial \dot{\phi}_{ca}} &= 0, & \pi^{ab} - \frac{\partial L}{\partial \dot{\phi}_{ab}} &= 0, & \pi^{ab\dots} &= 0, \\ \partial_{a_1}^T \dots \partial_{a_k}^T (EL) &= 0, \end{aligned}$$

These are consequences of the parent action equations of motion.

The submanifold they single out is  $\mathcal{E}$  (equation manifold of  $L$ ).

$$\chi = \bar{\chi}|_{\mathcal{E}} \quad \text{Presymplectic potential for } L$$

One can show

$$i_Q d\sigma = d\mathcal{H}, \quad \mathcal{H} = \bar{\mathcal{H}}|_{\mathcal{E}}, \quad \sigma = d\chi$$

Furthermore,  $\chi$  and  $\mathcal{H}$  determine the intrinsic action

$$S^C[\psi] = \int (\chi_A(x, dx^a, \psi) d\psi^A - \mathcal{H}(x, dx^a, \psi)),$$

where  $x^a, \psi^A$  are coordinates on  $\mathcal{E}$ . This can be independently arrived at by eliminating auxiliary fields starting from the parent action.