

# The leafwise Laplacian and its spectrum: the singular case

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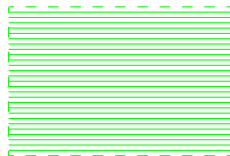
Bialoweiza, June 2012

# Summary

- 1 Introduction
  - Foliations and Laplacians
  - Statement of 3 theorems
- 2 How to prove these theorems
  - The  $C^*$ -algebra of a foliation
  - Pseudodifferential calculus
  - Proofs
- 3 The singular case
  - Almost regular foliations
  - Stefan-Sussmann foliations
- 4 Generalizations: Singular foliations

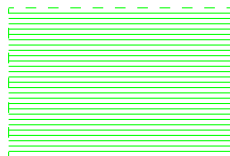
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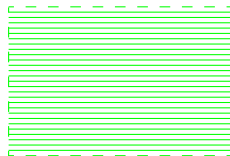


In other words: There is an open cover of  $M$  by **foliation charts** of the form  $\Omega = U \times T$ , where  $U \subseteq \mathbb{R}^p$  and  $T \subseteq \mathbb{R}^q$ .

$T$  is the **transverse direction** and  $U$  is the **longitudinal** or **leafwise** direction.

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The change of charts is of the form  $f(u, t) = (g(u, t), h(t))$ .

# 1.1 Laplacians

Each leaf is a complete Riemannian manifold:

Laplacian  $\Delta_L$  acting on  $L^2(L)$

The family of leafwise Laplacians:

Laplacian  $\Delta_M$  acting on  $L^2(M)$

# Statement of 3 theorems

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- more generally for every leafwise elliptic (pseudo-)differential operator.

Not trivial because:

- $\Delta_M$  **not elliptic** (as an operator on  $M$ ).
- $L$  **not compact**.

# Spectrum of the Laplacian

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## Theorem 3 (Connes)

In many cases, one can predict the possible gaps in the spectrum.

The same is true for all leafwise elliptic operators.

## 2.1 The $C^*$ -algebra

**Main tool:** The foliation  $C^*$ -algebra  $C^*(M, \mathcal{F})$ .

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Kernels  $k(x, y)$ :  $k_1 * k_2 = \int k_1(x, z)k_2(z, y)dz$

- Case of a **single leaf**:

Take any  $(x, y) \in M \times M \rightsquigarrow C^*(M, F) = \mathcal{K}(L^2(M))$

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- General case:  $(x, y) \in M \times M$  s.t.  $x, y$  in same leaf  $L$ ;
  - $\gamma$  path on  $L$  connecting  $x, y$ ;  $h_\gamma$  path holonomy depends only on homotopy class of  $\gamma$
  - $H(F) = \{(x, \text{germ}(h_\gamma), y)\}$  **Holonomy groupoid**.
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$C^*(M, F) =$  continuous functions on "space of leaves  $M/F$ ".



## 2.2 Pseudodifferential operators (Connes)

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Using Fourier transform one can write a differential operator  $P$  (acting by left multiplication on  $f \in C_c^\infty(G)$ ) as:

$$(Pf)(x, y) = \int \exp(i\langle \phi(x, z), \xi \rangle) \alpha(x, \xi) \chi(x, z) f(z, y) d\xi dz$$

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Where

- $\phi$  is the **phase**: through a local diffeomorphism defined on an open subset  $\tilde{\Omega} \simeq U \times U \times T \subset G$  (where  $\Omega = U \times T$  is a foliation chart).  
 $\phi(x, z) = x - z \in F_x$ ;
- $\chi$  is the **cut-off function**:  $\chi$  smooth,  $\chi(x, x) = 1$  on (a compact subset of)  $\Omega$ ,  $\chi(x, z) = 0$  for  $(x, z) \notin \tilde{\Omega}$ ;
- $\alpha \in C^\infty(F^*)$  is a polynomial on  $\xi$ . It is called the **symbol** of  $P$ .

## More general symbols

We can make sense of an expression like that for much more general symbols, in particular **poly-homogeneous** ones:

$$\alpha(\mathbf{u}, \xi) \sim \sum_{k \in \mathbb{N}} \alpha_{m-k}(\mathbf{u}, \xi)$$

where  $\alpha_j$  homogeneous of degree  $j$  (outside a neighborhood of  $M \subset F^*$ ).

- $m$  is called the **order** of  $\alpha$  and the associated operator;
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### Proposition (Connes)

- Negative order pseudodifferential operators  $\in C^*(M, F)$
- Zero order pseudodifferential operators: **multipliers** of  $C^*(M, F)$ .

# Longitudinal pseudodifferential calculus

Together with multiplicativity of the principal symbol this gives an exact sequence of  $C^*$ -algebras:

$$0 \rightarrow C^*(M, F) \rightarrow \Psi^*(M, F) \rightarrow C(SF^*) \rightarrow 0$$

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Theorem (Connes, Kordyukov, Vassout)

Elliptic operators of positive order are **regular** unbounded multipliers (in the sense of Baaj-Woronowicz:  $\text{graph}(D) \oplus \text{graph}(D)^\perp$  is dense).

## 2.3 Proof of theorems 1 and 2

$L^2(M)$  and  $L^2(L)$ : representations of the foliation  $C^*$ -algebras.

Proposition (Baaj, Woronowicz)

Every representation extends to regular multipliers.

image of the adjoint = adjoint of the image

Whence theorem 1.



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Proposition

Every injective morphism of  $C^*$ -algebras is isometric and isospectral.

Proposition (Fack-Skandalis)

If the foliation is **minimal** (*i.e.* all leaves are dense) then the foliation  $C^*$ -algebra is simple.

Theorem 2 follows.

## Examples for Theorem 3 (Connes)

Horocyclic foliation: no gaps in the spectrum

Let the " $\alpha x + b$ " group act on a compact manifold  $M$ .

e.g.  $M = \mathrm{SL}(2, \mathbb{R})/\Gamma$  where  $\Gamma$  discrete co-compact group.

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- The " $\alpha x$ " subgroup  $\longrightarrow$  action of  $\mathbb{R}_+^*$  which scales the trace.
- Image of  $K_0$  countable subgroup of  $\mathbb{R}$ , invariant under  $\mathbb{R}_+^*$  action.

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### Application:

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**Similarly**, Kronecker flow: Image of the trace  $\mathbb{Z} + \theta\mathbb{Z}$

Can be a Cantor type set

# Frobenius...

## Remark

$\Delta$  only depends on the bundle  $F \subset TM$  of vector fields tangent to the leaf.

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Vectors tangent to the leaves: Subbundle  $F$  of the tangent bundle.

It is an **integrable subbundle**: If  $X$  and  $Y$  are vector fields tangent to  $F$  then Lie bracket  $[X, Y]$  is tangent to  $F$ .

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Conversely

## Frobenius Theorem

Every integrable subbundle of the tangent bundle corresponds to a foliation.

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### Serre-Swan Theorem

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Equivalently:

Lie algebroid with anchor  $A_x \rightarrow T_x M$ , injective in a dense set.

Image  $F_x$ . Dimension lower semi-continuous.

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**Baum-Connes** predicts the K-theory and is known to hold in many cases...

## 3.2 Stefan-Sussmann foliations

Definition (Stefan, Sussmann, A-Skandalis)

A (singular) foliation is a finitely generated sub-module  $\mathcal{F}$  of  $C^\infty(M; TM)$ , stable under brackets.

No longer projective. The fiber  $\mathcal{F}/I_x\mathcal{F}$ : upper semi-continuous dimension.  
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### Examples

- ①  $\mathbb{R}$  foliated by 3 leaves:  $(-\infty, 0)$ ,  $\{0\}$ ,  $(0, +\infty)$ .  
 $\mathcal{F}$  generated by  $x^n \frac{\partial}{\partial x}$ . **Different foliation** for every  $n$ .

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- 2  $\mathbb{R}^2$  foliated by 2 leaves:  $\{0\}$  and  $\mathbb{R}^2 \setminus \{0\}$ .  
 No obvious best choice.  $\mathcal{F}$  given by the action of a Lie group

$$GL(2, \mathbb{R}), SL(2, \mathbb{R}), \mathbb{C}^*$$



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And also

- Analytic index (element of  $\text{KK}(C_0(\mathcal{F}^*); C^*(M, \mathcal{F}))$ )
- tangent groupoid + defines same KK element.

## Holonomy transformations I: Regular case

$\mathcal{F}$  sections of  $F$  involutive subbundle of  $TM$ .

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For any  $t$ , extend  $\frac{d}{ds} \Big|_{s=t} \gamma(s)$  to a time-dependent v.f  $Z_t \in \mathcal{F}$

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Define **holonomy of  $\gamma$**  the **germ** at  $x$  of

$$\text{hol}_\gamma : S_x \rightarrow S_y \quad q \mapsto \Gamma(q, 1)$$



## Holonomy transformations I: Regular case

$\mathcal{F}$  sections of  $F$  involutive subbundle of  $TM$ .

$\gamma : [0, 1] \rightarrow M$  path on a leaf,  $S_x, S_y$  transversals at  $x = \gamma(0), y = \gamma(1)$

For any  $t$ , extend  $\frac{d}{ds} \big|_{s=t} \gamma(s)$  to a time-dependent v.f  $Z_t \in \mathcal{F}$

Define  $\Gamma : S_x \times [0, 1] \rightarrow M$  following the flow of  $Z_t$  on points of  $S_x$ .  
(Assume  $\Gamma(q, 1) \subseteq S_y$ ).

Define **holonomy of  $\gamma$**  the **germ** at  $x$  of

$$\text{hol}_\gamma : S_x \rightarrow S_y \quad q \mapsto \Gamma(q, 1)$$

Does not depend on choice of  $Z_t$ . Get maps

- {homotopy classes of paths  $\gamma$ }  $\mapsto \text{GermAut}_{\mathcal{F}}(S_x; S_y)$  (holonomy)
- {homotopy classes of paths  $\gamma$ }  $\mapsto \text{Iso}(T_x S_x; T_y S_y)$  (linear holonomy)

## Holonomy transformations II: Singular case

Take  $M = \mathbb{R}$ ,  $\mathcal{F} = \langle x \frac{\partial}{\partial x} \rangle$  and  $x = y = 0$ .

Transversal = neighborhood of 0 in  $\mathbb{R}$ .

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### Observation 1 (A-Zambon)

Different choices of  $\Gamma$  differ by the flow of  $X \in \mathcal{F}(x) = \{X \in \mathcal{F} : X(x) = 0\}$ .

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### Observation 2 (A-Zambon)

**Not** linearizable! To make it linearizable, must consider  $\frac{\text{GermAut}_{\mathcal{F}}(S_x, S_x)}{\exp(I_x \mathcal{F})}$ .

## Bi-submersions

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$$s^{-1}(\mathcal{F}) = t^{-1}(\mathcal{F}) = C^\infty(U; \ker ds) + C^\infty(U; \ker dt)$$

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### A-Skandalis

Using bi-submersions **can** construct  $C^*(\mathcal{F})$  and longitudinal pseudodifferential calculus!

# Generalization of Theorem 1

## Theorem 1 (A-Skandalis)

Let  $M$  be a smooth compact manifold. Let  $X_1, \dots, X_N \in C^\infty(M; TM)$  be smooth vector fields such that  $[X_i, X_j] = \sum_{k=1}^N f_{ij}^k X_k$ .

Then  $\Delta = \sum X_i^* X_i$  is essentially self-adjoint (both in  $L^2(M)$  and  $L^2(L)$ ).

## Proof

This operator is indeed a regular unbounded multiplier of our  $C^*$ -algebra.

## Generalization of Theorem 2

### Theorem (Skandalis)

Assume that the (dense open) set  $\Omega \subset M$  where leaves have maximal dimension is Lebesgue measure 1. Assume that the restriction of  $\mathcal{F}$  to  $\Omega$  is minimal and that the holonomy groupoid of this restriction is Hausdorff and amenable.

Then  $\Delta_M$  and  $\Delta_L$  have the same spectrum (leaf  $L \subset \Omega$ ).

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The  $C^*$ -algebra  $C^*(\Omega, \mathcal{F})$  is simple (Fack-Skandalis) and sits as a two-sided ideal in  $C^*(M, \mathcal{F})$ . The natural representations of  $C^*(M, \mathcal{F})$  to  $L^2(L)$  and  $L^2(M)$  are extensions to multipliers of faithful representations of  $C^*(\Omega, \mathcal{F})$ . They are weakly equivalent.

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The singular extension of the foliation to the closure  $M$  of  $\Omega$  is used to prove  $\Delta_M$  is regular. Furthermore,  $\Delta_M$  depends on the way  $\mathcal{F}$  is extended.

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Need to know the "shape" of  $K_0(C^*(M, \mathcal{F}))$ .



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- ① A - M. Zambon: Longitudinal smoothness controlled by "essential isotropy groups" attached to each leaf. When discrete, groupoid longitudinally smooth.
- ② Conjecture: Baum-Connes true for  $\mathcal{F}$  iff true for each leaf.

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